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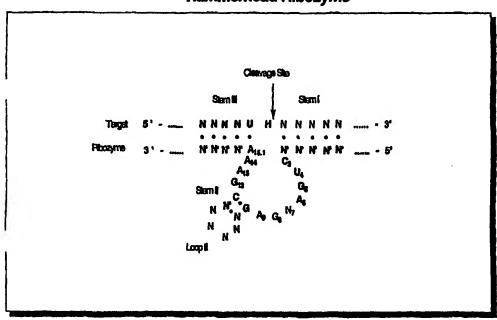
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(54) Title: ENZYMATIC NUCLEIC ACID TREATMENT OF DISEASES OR CONDITIONS RELATED TO LEVELS OF C-FOS

### Hammerhead Ribozyme



(57) Abstract

Enzymatic nucleic acid molecules which cleave c-fos RNA.

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#### DESCRIPTION

## 10/560157

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### Enzymatic Nucleic Acid Treatment Of Diseases Or Conditions Related To Levels Of C-FOS

#### Background Of The Invention

The present invention concerns therapeutic compositions and methods for the treatment of cancer.

The present invention relates to therapeutic compositions and methods for the treatment or diagnosis of diseases or conditions related to c-fos expression levels, such as cancer. The discussion is not meant to be complete and is provided only for understanding of the invention that follows. This summary is not an admission that any of the work described below is prior art to the claimed invention.

The c-fos proto-oncogene encodes a transcription 15 factor that plays an important regulatory role in the response to mitogenic stimuli (for a review see Angel et al., 1991, Biochem. Biophys. Acta. 1072, 129). Evidence in the literature indicates that c-fos is necessary for expression of many matrix metallo-proteinases (MMPs), 20 including stromelysin 1, stromelysin 2, collagenase 1, kD gelatinase and human macrophage matrylisin, 92 metalloelastase (Sato et al., 1993, Oncogene 8, 395; Gaire et al. 1994 J Biol Chem 269, 2032; Lauricell-Lefebvre et al. 1993 Invasion Metastasis 13. 289; 25 Belaaouaj et al. 1995 J Biol Chem 270, 14568). C-fos regulates the expression of other proteases including urokinase-type plasminogen activator, granzyme B and several cathepsins (Lengyel et al., 1995 Biochem Biophys Acta 1268, 65; Troen et al., 1991 Cell Growth 30 Differ 2, 23; Hadman et al., 1996 Oncogene 12, 135;

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Rochefort et al., 1995, Ciba Found Symp 191, 254; Wargnier et al. 1995 Proc Natl Acad Sci, USA 92, 6930). Applicant believes that the implications of several of these proteases in tumor metastasis indicates that inhibition of c-fos has the potential to reduce invasive phenotype, as claimed herein. In addition to regulating protease expression, c-fos is necessary for expression of tissue factors, which play an important role in angiogenesis (Felts et al., 1995, Biochemistry 34, 12355; Contrino et al., 1996, Nature Med 2, 209). C-fos 10 is required for expression of the mdr-1 gene (multi-drug resistance), which is thought to contribute to failures in chemotherapy (Scanlon et al., 1994, Proc Natl Acad Sci, USA 91, 11123). C-fos has been shown to play a role in cell proliferation in some cell types (Rijnders 15 et al., 1985, Biochem Biophys Res Comm 132, 548). is also some suggestion that c-fos may have a role in degeneration, cell death and/or neuronal injury, neoplasms (Schlingensiepen et al., International PCT Publication No. WO 95/02051). 20

The proto-oncogene c-fos is the cellular homolog of the v-fos gene from FBJ murine osteosarcoma virus. Members of the Fos protein family (c-fos, fosB, fra-1 and fra-2) form heterodimers with members of the jun family (c-jun/AP-1, junB and junD). The heterodimers act as transcriptional activators by binding DNA at AP-1 variety of including genes, in а present sites collagenase, IL-2, adipocyte P2, human metallothionein IIA, transin, and the DNA repair enzymes thymidylate (dTMP) synthase, DNA polymerase  $\beta$ , and topoisomerase I. Expression of c-fos is normally tightly regulated at The kinetics of both the RNA and protein level. expression follow the classic pattern of an immediate

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early gene; mRNA levels peak at 30-45 minutes following mitogenic stimulation and thereafter decline rapidly. The c-fos gene contains an AT-rich mRNA destabilizing sequence in 3' non-coding region, giving the mRNA a half-life of about 12 minutes. The Fos protein has a relatively short half-life (under 2 hours) and negatively regulates transcription of the c-fos gene, contributing to rapid down-regulation (Morgan et al., 1991 Annu Rev Neurosci. 14, 421-451; Ransone et al., 1990, Annu Rev Cell Biol. 6, 539).

fos The connection between expression osteosarcoma was first suggested by the identification of v-fos in murine osteosarcoma virus. Greater than 90% of mice infected with the fos viruses FBJ-MSV and FBR-MSV develop bone tumors. It appears that deregulated expression of the normal c-fos gene can result in similar oncogenic transformation. For example, overexpression of c-fos in tissue culture cells yields a transformed phenotype, and in transgenic mice results in a high frequency of bone and cartilage tumors. of human osteosarcomas (HOS) majority significantly elevated c-fos levels (Wu et al. Unlike ras, no specific c-fos Oncogene 5, 989). mutations have been identified that correlate with oncogenic potential.

Transgenic mice that constitutively express c-fos develop normally until a few weeks after birth, when bone hyperplasia becomes evident (Ruther et al., 1989 Oncogene 4, 861). Approximately 20% develop bone tumors. The level of c-fos expression is at least 10-fold higher in tumor tissue compared to normal tissue. Interestingly, although constitutive expression of c-fos occurs in many tissues, lesions are confined to bone

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tissue. Thus a secondary tissue-specific event is probably required in addition to elevated c-fos levels to bring about malignant transformation. Fos expression is also associated with cartilage tumor formation when the transgene is expressed during embryogenesis (Wang et al., 1991 EMBO J 10, 2437).

Homozygous c-fos knock-out mice are normal at birth, then begin to exhibit osteopetrosis at about 11 days. This is characterized by severe ossification of the marrow space, shortened bones, and absence of tooth eruption due to obstruction by abnormal amounts of bone. In addition, although possessing normal motor skills, the transgenic animals show behavioral abnormalities including hyperactivity and severely diminished response to external stimuli. This is consistent with reports showing that c-fos plays a pivotal role in the adaptive responses of the nervous system.

Normal bone is constantly being formed and resorbed by the tightly regulated action of osteoblasts and osteoclasts, respectively. This process is controlled in part by parathyroid hormone (PTH) which differentially affects bone mass depending on whether it is present continuously or intermittently. PTH binds to receptors on osteoblasts and rapidly and transiently PTH-activated osteoblasts induces c-fos expression. then induce c-fos expression in osteoclasts and bone marrow stromal cells. Thus the temporally-regulated constitute an essential of c-fos may expression downstream event in the normal response to PTH. deletion or constitutive overexpression of c-fos in transgenic mice produces abnormal bone morphology, illustrating the requirement for tightly regulated expression of this protein.

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Scanlon, International PCT Publication Nos. WO 91/18624 and WO 96/08558; Scanlon et al., 1991, Proc. Natl. Acad. Sci. USA., 88, 10591; and Funato et al., 1992, Advan. Enzyme Regul., 32, 195, report the use of a hammerhead ribozyme to cleave a site within c-fos mRNA.

Scanlon, International PCT Publication No. WO 96/08558, states on page 9-10 that-

resistance in mammalian, "[D]rug including human, cancer cells is reversed or ameliorated by the down-regulation of the expression of the Fos/Jun heterocomplex and AP-responsive genes downstream Fos/Jun transduction pathway. in the MDR phenotype by ribozyme of Reversal suppression of c-fos oncogene expression illustrates one practical application of the invention."

#### Summary Of The Invention

This invention relates to ribozymes, or enzymatic nucleic acid molecules, directed to cleave RNA species that are required for cellular growth responses. In particular, applicant describes the selection and function of ribozymes capable of cleaving RNA encoded by the oncogene, c-fos. Such ribozymes may be used to inhibit the hyperproliferation of tumor cells in one or more cancers.

In the present invention, ribozymes that cleave c-fos RNA are described. Moreover, applicant shows that these ribozymes are able to inhibit gene expression and cell proliferation in vitro and in vivo, and that the catalytic activity of the ribozymes is required for their inhibitory effect. From those of ordinary skill

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in the art, it is clear from the examples described, that other ribozymes that cleave target RNAs required for cell proliferation may be readily designed and are within the invention.

By "inhibit" is meant that the activity of c-fos or level of RNAs encoded by c-fos is reduced below that absence of the nucleic in the observed particularly, inhibition with ribozymes preferably is below that level observed in the presence of an inactive RNA molecule able to bind to the same site on the mRNA, but unable to cleave that RNA.

By "enzymatic nucleic acid molecule" it is meant a nucleic acid molecule which has complementarity in a substrate binding region to a specified gene target, and also has an enzymatic activity which is active to specifically cleave RNA in that target. That is, the is able to acid molecule nucleic enzymatic intermolecularly cleave RNA and thereby inactivate a target RNA molecule. This complementarity functions to allow sufficient hybridization of the enzymatic nucleic acid molecule to the target RNA to allow the cleavage to percent complementarity hundred One preferred, but complementarity as low as 50-75% may also be useful in this invention.

nucleic acid is used enzymatic The term as ribozymes, phrases such interchangeably with catalytic RNA, enzymatic RNA, catalytic DNA, nucleozyme, endoribonuclease, minizyme, enzyme, DNAzyme, RNA leadzyme, oligozyme or DNA enzyme, as used in the art. terminologies describe nucleic of these 30 All molecules with enzymatic activity.

By "equivalent" RNA to  $c ext{-}fos$  is meant to include those naturally occurring RNA molecules associated with cancer in various animals, including human, rat and pig.

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By "complementarity" is meant a nucleic acid that can form hydrogen bond(s) with another RNA sequence by either traditional Watson-Crick or other non-traditional types (for example, Hoogsteen type) of base-paired interactions.

basic varieties of naturally-occurring Seven enzymatic RNAs are known presently. Each can catalyze the hydrolysis of RNA phosphodiester bonds in trans (and thus can cleave other RNA molecules) under physiological Table I summarizes some of the characterisconditions. tics of these ribozymes. In general, enzymatic nucleic acids act by first binding to a target RNA. binding occurs through the target binding portion of an enzymatic nucleic acid which is held in close proximity to an enzymatic portion of the molecule that acts to cleave the target RNA. Thus, the enzymatic nucleic acid first recognizes and then binds a target RNA through complementary base-pairing, and once bound correct site, acts enzymatically to cut the target RNA. Strategic cleavage of such a target RNA will destroy its ability to direct synthesis of an encoded protein. After an enzymatic nucleic acid has bound and cleaved its RNA target, it is released from that RNA to search for another target and can repeatedly bind and cleave new targets.

The enzymatic nature of a ribozyme is advantageous over other technologies, since the concentration of ribozyme necessary to affect a therapeutic treatment is This advantage reflects the ability of the lower. ribozyme to act enzymatically. Thus, a single ribozyme molecule is able to cleave many molecules of target RNA. is highly specific the ribozyme a In addition, inhibitor, with the specificity of inhibition depending not only on the base-pairing mechanism of binding to the

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target RNA, but also on the mechanism of target RNA cleavage. Single mismatches, or base-substitutions, near the site of cleavage can be chosen to completely eliminate catalytic activity of a ribozyme.

Nucleic acid molecules having an endonuclease enzymatic activity are able to repeatedly cleave other separate RNA molecules in a nucleotide base sequence-Such enzymatic RNA molecules can be specific manner. targeted to virtually any RNA transcript, and efficient (Zaug et al., 324, Nature cleavage achieved in vitro 429 1986; Uhlenbeck, 1987 Nature 328, 596; Kim et al., 84 Proc. Natl. Acad. Sci. USA 8788, 1987; Dreyfus, 1988, J. Bio. Med., 6, 92; Haseloff and Einstein Quart. Gerlach, 334 Nature 585, 1988; Cech, 260 JAMA 3030, 1988; and Jefferies et al., 17 Nucleic Acids Research 1371, 1989).

their sequence-specificity, trans-Because of cleaving ribozymes show promise as therapeutic agents for human disease (Usman & McSwiggen, 1995 Ann. Rep. 30, 285-294; Christoffersen and Marr, 1995 Med. Chem. Ribozymes can be **38**, 2023-2037). J. Med. Chem. designed to cleave specific RNA targets within the Such a cleavage event background of cellular RNA. renders the RNA non-functional and abrogates protein expression from that RNA. In this manner, synthesis of a protein associated with a disease state can be selectively inhibited.

Ribozymes that cleave the specified sites in c-fos RNAs represent a novel therapeutic approach to induce graft tolerance, treat autoimmune diseases, allergies, cancer and other inflammatory conditions. Applicant indicates that ribozymes are able to inhibit the activity of c-fos and that the catalytic activity of the

ribozymes is required for their inhibitory effect. Those of ordinary skill in the art will find that it is clear from the examples described that other ribozymes that cleave these sites in c-fos RNAs may be readily designed and are within the scope of this invention.

preferred embodiments of of the inventions herein, the enzymatic nucleic acid molecule is formed in a hammerhead or hairpin motif, but may also be formed in the motif of a hepatitis  $\delta$  virus, group I 10 intron, group II intron or RNaseP RNA (in association with an RNA guide sequence) or Neurospora VS RNA. Examples of such hammerhead motifs are described by Dreyfus, supra, Rossi et al., 1992, AIDS Research and Human Retroviruses 8, 183; of hairpin motifs by Hampel 15 et al., EP0360257, Hampel and Tritz, 1989 Biochemistry 28, 4929, Feldstein et al., 1989, Gene 82, 53, Haseloff and Gerlach, 1989, Gene, 82, 43, and Hampel et al., 1990 Nucleic Acids Res. 18, 299; of the hepatitis  $\delta$  virus motif described by Perrotta and Been, 1992 is Biochemistry 31, 16; of the RNaseP motif by Guerrier-20 Takada et al., 1983 Cell 35, 849; Forster and Altman, 1990, Science 249, 783; Li and Altman, 1996, Nucleic Acids Res. 24, 835; Neurospora VS RNA ribozyme motif is described by Collins (Saville and Collins, 1990 Cell 61, 685-696; Saville and Collins, 1991 Proc. Natl. Acad. 25 8826-8830; Collins and Olive, USA 88, Biochemistry 32, 2795-2799; Guo and Collins, 1995, EMBO. J. 14, 363); Group II introns are described by Griffin et al., 1995, Chem. Biol. 2, 761; Michels and Pyle, 1995, Biochemistry 34, 2965; Pyle et al., International 30 PCT Publication No. WO 96/22689; and of the Group I intron by Cech et al., U.S. Patent 4,987,071. specific motifs are not limiting in the invention and

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those skilled in the art will recognize that all that is important in an enzymatic nucleic acid molecule (or multiple fragments of such molecules) of this invention is that it has a specific substrate binding site or arm(s) which is complementary to one or more of the target gene RNA regions, and that it have nucleotide sequences within or surrounding that substrate binding site which impart an RNA cleaving activity to the molecule (enzymatic portion).

10 By "enzymatic portion" is meant that part of the ribozyme essential for cleavage of an RNA substrate.

By "substrate binding arm" is meant that portion of a ribozyme which is complementary to (i.e., base-pair with) a portion of its substrate. Generally, 100%, but can be less such complementarity is desired. For example, as few as 10 bases out of 14 may Such arms are shown generally in be base-paired. That is, these arms Figures 1-3 as discussed below. contain sequences within a ribozyme which are intended bring ribozyme and target RNA together through complementary base-pairing interactions; e.g., ribozyme а standard and III of I within stems hammerhead ribozyme make up the substrate-binding domain (see Figure 1).

In a preferred embodiment the invention provides a method for producing a class of enzymatic cleaving agents which exhibit a high degree of specificity for the RNA of a desired target. The enzymatic nucleic acid molecule is preferably targeted to a highly conserved sequence region of a target mRNAs encoding c-fos proteins such that specific treatment of a disease or condition can be provided with either one or several enzymatic nucleic acids. Such enzymatic nucleic acid molecules can be delivered exogenously to specific cells

as required. Alternatively, the ribozymes can be expressed from DNA/RNA vectors that are delivered to specific cells.

100 nucleic acids greater than Synthesis of length is difficult using automated nucleotides in 5 methods, and the therapeutic cost of such molecules is In this invention, small nucleic acid prohibitive. motifs (e.g., antisense oligonucleotides, hammerhead or the hairpin ribozymes) are used for exogenous delivery. The simple structure of these molecules increases the 10 ability of the nucleic acid to invade targeted regions of the mRNA structure. However, these nucleic acid also be expressed within cells molecules can eukaryotic promoters (e.g., Izant and Weintraub, 15 Science 229, 345; McGarry and Lindquist, 1986 Proc. Natl. Acad. Sci. USA 83, 399; SullengerScanlon et al., 1991, Proc. Natl. Acad. Sci. USA, 88, 10591-5; Kashani-Sabet et al., 1992 Antisense Res. Dev., 2, Virol, 66, 1432-41; Dropulic et al., 1992 J. Weerasinghe et al., 1991 J. Virol, 65, 5531-4; 20 89, 10802-6; et al., 1992 Proc. Natl. Acad. Sci. USA Chen et al., 1992 Nucleic Acids Res., 20, 4581-9; Sarver et al., 1990 Science 247, 1222-1225; Thompson et al., 1995 Nucleic Acids Res. 23, 2259). Those skilled in the art realize that any nucleic acid can be 25 expressed in eukaryotic cells from the appropriate DNA/RNA vector. The activity of such nucleic acids can by their release from the augmented transcript by a ribozyme (Draper et al., PCT WO93/23569, Sullivan et al., PCT W094/02595, both hereby 30 incorporated in their totality by reference herein; Ohkawa et al., 1992 Nucleic Acids Symp. Ser., 27, 15-6; Taira et al., 1991, Nucleic Acids Res., 19, 5125-30;

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Ventura et al., 1993 Nucleic Acids Res., 21, 3249-55; Chowrira et al., 1994 J. Biol. Chem. 269, 25856).

Such ribozymes are useful for the prevention of the diseases and conditions discussed above, and any other diseases or conditions that are related to the levels of c-fos activity in a cell or tissue.

By "related" is meant that the inhibition of c-fos RNAs and thus reduction in the level respective protein activity will relieve to some extent the symptoms of the disease or condition.

Ribozymes are added directly, or can be complexed with cationic lipids, packaged within liposomes, or The nucleic acid otherwise delivered to target cells. or nucleic acid complexes can be locally administered to relevant tissues ex vivo, or in vivo through injection, without their stent, with or or infusion pump incorporation in biopolymers. In preferred embodiments, the ribozymes have binding arms which are complementary to the sequences in Tables III and IV. Examples of such ribozymes are also shown in Tables III and IV. Examples such ribozymes consist essentially of sequences defined in these Tables.

By "consists essentially of" is meant that the active ribozyme contains an enzymatic center or core equivalent to those in the examples, and binding arms able to bind mRNA such that cleavage at the target site occurs. Other sequences may be present which do not interfere with such cleavage.

Thus, in a first aspect, the invention features ribozymes that inhibit gene expression and/or cell proliferation. These chemically or enzymatically synthesized RNA molecules contain substrate binding domains that bind to accessible regions of their target mRNAs. The RNA molecules also contain domains that

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catalyze the cleavage of RNA. The RNA molecules are preferably ribozymes of the hammerhead or hairpin motif. Upon binding, the ribozymes cleave the target mRNAs, preventing translation and protein accumulation. In the absence of the expression of the target gene, cell proliferation is inhibited.

preferred embodiment, the enzymatic RNA In molecules cleave c-fos mRNA and inhibit cell Such ribozymes are useful the proliferation. prevention and/or treatment of cancer. Ribozymes are can be complexed with cationic added directly, or within liposomes, otherwise lipids, packaged or smooth muscle cells. The RNA delivered to orcomplexes can be locally administered to relevant tissues through the use of a catheter, infusion pump or stent, with or without their incorporation in bio-The ribozymes, similarly delivered, also are polymers. useful for inhibiting proliferation of certain cancers associated with elevated levels of the c-fos oncogene, particularly leukemias, neuroblastomas, and lung, colon, and breast carcinomas. Using the methods described herein, other enzymatic RNA molecules that cleave c-fos and thereby inhibit tumor cell proliferation may be derived and used as described above. Specific examples are provided below in the Tables and figures.

In another aspect of the invention, ribozymes that cleave target molecules and inhibit *c-fos* activity are expressed from transcription units inserted into DNA or RNA vectors. The recombinant vectors are preferably DNA plasmids or viral vectors. Ribozyme expressing viral vectors could be constructed based on, but not limited to, adeno-associated virus, retrovirus, adenovirus, or alphavirus. Preferably, the recombinant vectors capable of expressing the ribozymes are delivered as described

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above, and persist in target cells. Alternatively, viral vectors may be used that provide for transient Such vectors might ribozymes. of expression repeatedly administered as necessary. Once expressed, the ribozymes cleave the target mRNA. Delivery of ribozyme expressing vectors could be systemic, such as intramuscular administration, by intravenous or administration to target cells ex-planted from the patient followed by reintroduction into the patient, or by any other means that would allow for introduction into the desired target cell (for a review see Couture and Stinchcomb, 1996, TIG., 12, 510).

By "patient" is meant an organism which is a donor or recipient of explanted cells or the cells themselves. "Patient" also refers to an organism to which enzymatic nucleic acid molecules can be administered. Preferably, a patient is a mammal or mammalian cells. More preferably, a patient is a human or human cells.

By "vectors" is meant any nucleic acid- and/or viral-based technique used to deliver a desired nucleic acid.

These ribozymes, individually, or in combination or in conjunction with other drugs, can be used to treat diseases or conditions discussed above. For example, to treat a disease or condition associated with c-fos levels, the patient may be treated, or other appropriate cells may be treated, as is evident to those skilled in the art.

In a further embodiment, the described ribozymes can be used in combination with other known treatments to treat conditions or diseases discussed above. For example, the described ribozymes could be used in combination with one or more known therapeutic agents to treat cancer.

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In preferred embodiments, the ribozymes have binding arms which are complementary to the sequences in the tables, shown as Seq. I.D. Nos. 1-140 and 325-368. Examples of such ribozymes are shown as Seq. I.D. Nos. 141-324. Other sequences may be present which do not interfere with such cleavage.

In another aspect of the invention, ribozymes that cleave target molecules and inhibit cell proliferation are expressed from transcription units inserted into DNA, RNA, or viral vectors. Preferably, the recombinant vectors capable of expressing the ribozymes are locally delivered as described above, and transiently persist in smooth muscle cells. Once expressed, the 'ribozymes cleave their target mRNAs and prevent proliferation of host cells. The recombinant vectors their preferably DNA plasmids or adenovirus vectors. However. other mammalian cell vectors that direct the expression of RNA may be used for this purpose.

Other features and advantages of the invention will 20 be apparent from the following description of the preferred embodiments thereof, and from the claims.

#### Description Of The Preferred Embodiments

The drawings will first briefly be described.

#### Drawings:

Figure 1 is a diagrammatic representation of the hammerhead ribozyme domain known in the art. Stem II can be ≥2 base-pair long.

Figure 2a is a diagrammatic representation of the hammerhead ribozyme domain known in the art; Figure 2b is a diagrammatic representation of the hammerhead ribozyme as divided by Uhlenbeck (1987, Nature, 327,

596-600) into a substrate and enzyme portion; Figure 2c is a similar diagram showing the hammerhead divided by Haseloff and Gerlach (1988, Nature, 334, 585-591) into two portions; and Figure 2d is a similar diagram showing the hammerhead divided by Jeffries and Symons (1989, Nucl. Acids. Res., 17, 1371-1371) into two portions.

Figure 3 is a diagrammatic representation of the general structure of a hairpin ribozyme. Helix 2 (H2) is provided with at least 4 base pairs (i.e., n is 1, 2, 3 or 4) and helix 5 can be optionally provided of length 10 2 or more bases (preferably 3-20 bases, i.e., m is from 1-20 or more). Helix 2 and helix 5 may be covalently linked by one or more bases (i.e., r is  $\geq 1$  base). 1, 4 or 5 may also be extended by 2 or more base pairs (e.g., 4-20 base pairs) to stabilize the ribozvme 15 structure, and preferably is a protein binding site. each instance, each N and N' independently is any normal or modified base and each dash represents a potential These nucleotides may base-pairing interaction. modified at the sugar, base or phosphate. Complete 20 base-pairing is not required in the helices, but is preferred. Helix 1 and 4 can be of any size (i.e., o and p is each independently from 0 to any number, e.g., base-pairing is maintained. some as long Essential bases are shown as specific bases in the 25 structure, but those in the art will recognize that one or more may be modified chemically (abasic, base, sugar and/or phosphate modifications) or replaced with another base without significant effect. Helix 4 can be formed from two separate molecules, i.e., without a connecting 30 The connecting loop when present may be a ribonucleotide with or without modifications to base, sugar or phosphate. "q" is  $\geq$  2 bases. The

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connecting loop can also be replaced with a non-nucleotide linker molecule. H refers to bases A, U, or C. Y refers to pyrimidine bases. "\_\_\_\_\_" refers to a covalent bond.

Figure 4 is a representation of the general structure of the hepatitis delta virus ribozyme domain known in the art.

Figure 5 is a representation of the general structure of the self-cleaving VS RNA ribozyme domain.

Figure 6 is a graphical representation of results of an RNA cleavage reaction catalyzed by ribozymes targeted against c-fos RNA at the indicated sites. Numbers 163-1178 are meant to indicate examples of nucleotide sites within c-fos RNA that are targeted for ribozyme cleavage.

Figure 7 is a graphical representation of c-fos c-allyl ribozyme-mediated inhibition of cell proliferation. Numbers 166-1359 are meant to indicate examples of nucleotide sites within c-fos RNA that are targeted for ribozyme cleavage. 6/6 and 4/10 arms are meant to indicate the number of nucleotides in each of the two binding arms of a hammerhead ribozyme targeted against site 193.

Figure 8 is a graphical representation of c-fos 25 amino ribozyme-mediated inhibition of cell proliferation.

Figure 9 shows generic structures of chemically modified hammerhead ribozymes. A) diagrammatic representation of Amino hammerhead ribozyme. B) diagrammatic representation of C-allyl hammerhead ribozyme.

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#### Target sites

Targets for useful ribozymes can be determined as disclosed in Draper et al., WO 93/23569; Sullivan et al., WO 93/23057; Thompson et al., WO 94/02595; Draper et al., WO 95/04818; McSwiggen et al., US Patent No. 5,525,468 and hereby incorporated by reference herein in Rather than repeat the guidance provided in totality. specific documents here, below are provided examples of such methods, not limiting to those in the such targets are designed Ribozymes to described in those applications and synthesized to be tested in vitro and in vivo, as also described. optimized and delivered as can also be ribozymes described therein.

15 The sequence of human c-fos mRNAs were screened for optimal ribozyme target sites using a computer folding algorithm. Hammerhead or hairpin ribozyme cleavage sites were identified. These sites are shown in Tables III and IV (All sequences are 5' to 3' in the tables)

20 The nucleotide base position is noted in the Tables as that site to be cleaved by the designated type of ribozyme. The nucleotide base position is noted in the Tables as that site to be cleaved by the designated type of ribozyme.

Hammerhead or hairpin ribozymes were designed that could bind and were individually analyzed by computer folding (Jaeger et al., 1989 Proc. Natl. Acad. Sci. USA, 86, 7706) to assess whether the ribozyme sequences fold into the appropriate secondary structure. Those ribozymes with unfavorable intramolecular interactions between the binding arms and the catalytic core are eliminated from consideration. Varying binding arm lengths can be chosen to optimize activity. Generally,

at least 5 bases on each arm are able to bind to, or otherwise interact with, the target RNA.

Ribozymes of the hammerhead or hairpin motif were designed to anneal to various sites in the mRNA message. The binding arms are complementary to the target site 5 above. The ribozymes described were sequences chemically synthesized. The method of synthesis used follows the procedure for normal RNA synthesis as described in Usman et al., 1987 J. Am. Chem. Soc., 109, Scaringe et al., 1990 Nucleic Acids Res., 10 5433; and Wincott et al., 1995 Nucleic Acids Res. 23, nucleic makes use of 2677-2684 and common protecting and coupling groups, such as dimethoxytrityl the 5'-end, and phosphoramidites at the 3'-end. 15 Small scale synthesis were conducted on a 394 Applied Biosystems, Inc. synthesizer using a modified 2.5 µmol scale protocol with a 5 min coupling step for alkylsilyl protected nucleotides and 2.5 min coupling step for 2'-O-methylated nucleotides. Table II outlines the amounts, and the contact times, of the reagents used in 20 the synthesis cycle. A 6.5-fold excess (163  $\mu L$  of 0.1 M = 16.3 µmol) of phosphoramidite and a 24-fold excess of S-ethyl tetrazole (238  $\mu$ L of 0.25 M = 59.5  $\mu$ mol) relative to polymer-bound 5'-hydroxyl was used in each coupling cycle. Average coupling yields on the 394 25 synthesizer, determined by Applied Biosystems, Inc. colorimetric quantitation of the trityl fractions, were 97.5-99%. Other oligonucleotide synthesis reagents for 394 Applied Biosystems, Inc. synthesizer: the detritylation solution was 2% TCA in methylene chloride 30 (ABI); capping was performed with 16% N-methyl imidazole in THF (ABI) and 10% acetic anhydride/10% 2,6-lutidine in THF (ABI); oxidation solution was 16.9 mM I2, 49 mM

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pyridine, 9% water in THF (Millipore). B & J Synthesis Grade acetonitrile was used directly from the reagent bottle. S-Ethyl tetrazole solution (0.25 M in acetonitrile) was made up from the solid obtained from American International Chemical, Inc.

Deprotection of the RNA was performed as follows. The polymer-bound oligoribonucleotide, trityl-off, was transferred from the synthesis column to a 4mL glass screw top vial and suspended in a solution of methylamine (MA) at 65°C for 10 min. After cooling to -20°C, the supernatant was removed from the polymer support. The support was washed three times with 1.0 mL of EtoH:MeCN:H2O/3:1:1, vortexed and the supernatant was then added to the first supernatant. The combined supernatants, containing the oligoribonucleotide, were dried to a white powder.

The base-deprotected oligoribonucleotide was resuspended in anhydrous TEA•HF/NMP solution (250  $\mu L$  of a solution of 1.5mL N-methylpyrrolidinone, 750  $\mu L$  TEA and 1.0 mL TEA•3HF to provide a 1.4M HF concentration) and heated to 65°C for 1.5h. The resulting, fully deprotected, oligomer was quenched with 50 mM TEAB (9 mL) prior to anion exchange desalting.

For anion exchange desalting of the deprotected oligomer, the TEAB solution was loaded onto a Qiagen 500<sup>®</sup> anion exchange cartridge (Qiagen Inc.) that was prewashed with 50 mM TEAB (10 mL). After washing the loaded cartridge with 50 mM TEAB (10 mL), the RNA was eluted with 2 M TEAB (10 mL) and dried down to a white powder.

Inactive hammerhead ribozymes were synthesized by substituting a U for G5 and a U for A14 (numbering from

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Hertel, K. J., et al., 1992, <u>Nucleic Acid Res.</u>, 20, 3252).

The average stepwise coupling yields were >98% (Wincott et al., 1995 Nucleic Acids Res. 23, 2677-2684).

Hairpin ribozymes are synthesized in two parts and annealed to reconstruct the active ribozyme (Chowrira and Burke, 1992 Nucleic Acids Res., 20, 2835-2840). Ribozymes are also synthesized from DNA templates using bacteriophage T7 RNA polymerase (Milligan and Uhlenbeck, 1989, Methods Enzymol. 180, 51).

Ribozymes are modified to enhance stability and/or enhance catalytic activity by modification with nuclease resistant groups, for example, 2'-amino, 2'-C-allyl, 2'-flouro, 2'-O-methyl, 2'-H, nucleotide base modifications (for a review see Usman and Cedergren, 1992 TIBS 17, 34; Usman et al., 1994 Nucleic Acids Symp. Ser. 31, 163; Burgin et al., 1996 Biochemistry 6, 14090). Ribozymes are purified by gel electrophoresis using general methods or are purified by high pressure liquid chromatography (HPLC; See Wincott et al., supra) the totality of which is hereby incorporated herein by reference) and are resuspended in water.

The sequences of the ribozymes that are chemically synthesized, useful in this study, are shown in Tables 25 Those in the art will recognize that these sequences are representative only of many more such sequences where the enzymatic portion of the ribozyme is altered to affect the binding arms) but 30 activity. For example, stem-loop II sequence altered (substitution, hammerhead ribozymes can be deletion, and/or insertion) to contain any sequences provided a minimum of two base-paired stem structure can

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form. Similarly, stem-loop IV sequence of hairpin ribozymes listed in Tables IV (5'-CACGUUGUG-3') can be altered (substitution, deletion, and/or insertion) to contain any sequence, provided a minimum of two base-paired stem structure can form. Preferably, no more than 200 bases are inserted at these locations. The sequences listed in Tables II and IV may be formed of ribonucleotides or other nucleotides or non-nucleotides. Such ribozymes (which have enzymatic activity) are equivalent to the ribozymes described specifically in the Tables.

#### Optimizing Ribozyme Activity

Ribozyme activity can be optimized as described by Draper et al., supra. The details will not be repeated here, but include altering the length of the ribozyme 15 (stems I and III, see Figure 2c), or binding arms chemically synthesizing ribozymes with modifications sugar and/or phosphate) that prevent their degradation by serum ribonucleases and/or enhance their enzymatic activity (see e.g., Eckstein 20 International Publication No. WO 92/07065; Perrault et al., 1990 Nature 344, 565; Pieken et al., 1991 Science 253, 314; Usman and Cedergren, 1992 Trends in Biochem. Sci. 17, 334; Usman et al., International Publication and Rossi et al., International 25 WO 93/15187; No. Sproat, US Publication No. WO 91/03162; Patent 5,334,711; and Burgin et al., supra; all of these describe various chemical modifications that can be made sugar moieties phosphate and/or the base, enzymatic RNA molecules). Modifications which enhance 30 their efficacy in cells, and removal of stem II bases to synthesis times chemical and reduce RNA shorten

requirements are desired. (All these publications are hereby incorporated by reference herein.).

By "enhanced enzymatic activity" is meant to include activity measured in cells and/or *in vivo* where the activity is a reflection of both catalytic activity and ribozyme stability. In this invention, the product of these properties in increased or not significantly (less that 10 fold) decreased *in vivo* compared to an all RNA ribozyme.

enzymatic 10 nucleic acid The having chemical modifications which maintain or enhance enzymatic activity is provided. Such nucleic acid is generally more resistant to nucleases than unmodified nucleic acid. By "modified bases" in this aspect is 15 meant nucleotide bases other than adenine, quanine, cytosine and uracil at 1' position or their equivalents; such bases may be used within the catalytic core of the enzyme as well as in the substrate-binding regions. particular, the invention features modified ribozymes 20 having a base substitution selected from pyridin-4-one, pyridin-2-one, phenyl, pseudouracil, 2, 4, 6-trimethoxy benzene, 3-methyluracil, dihydrouracil, naphthyl, 6methyl-uracil and aminophenyl. As noted substitution in the core may decrease in vitro activity 25 but enhances stability. Thus, in a cell and/or in vivo the activity may not be significantly lowered. exemplified herein such ribozymes are useful in a cell and/or in vivo even if activity over all is reduced 10 Such ribozymes herein are said to "maintain" the fold. 30 enzymatic activity on all RNA ribozyme.

Sullivan, et al., supra, describes the general methods for delivery of enzymatic RNA molecules. Ribozymes may be administered to cells by a variety of

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methods known to those familiar to the art, including, but not restricted to, encapsulation in liposomes, by iontophoresis, or by incorporation into other vehicles, biodegradable cyclodextrins, hydrogels, as such nanocapsules, and bioadhesive microspheres. For some indications, ribozymes may be directly delivered ex vivo to cells or tissues with or without the aforementioned vehicles. Alternatively, the RNA/vehicle combination is locally delivered by direct injection or by use of a infusion pump or stent. Other routes of delivery include, but are not limited to, intravascular, intramuscular, subcutaneous or joint injection, aerosol form), topical, or pill inhalation, oral (tablet and/or intrathecal intraperitoneal systemic, ocular, More detailed descriptions of ribozyme delivery. delivery and administration are provided in Sullivan et supra and Draper et al., supra which have been incorporated by reference herein.

Another means of accumulating high concentrations of a ribozyme(s) within cells is to incorporate the 20 ribozyme-encoding sequences into a DNA or RNA expression Transcription of the ribozyme sequences are vector. driven from a promoter for eukaryotic RNA polymerase I (pol I), RNA polymerase II (pol II), or RNA polymerase Transcripts from pol II or pol III III (pol III). 25 promoters will be expressed at high levels in all cells; the levels of a given pol II promoter in a given cell type will depend on the nature of the gene regulatory sequences (enhancers, silencers, etc.) present nearby. Prokaryotic RNA polymerase promoters are also used, 30 providing that the prokaryotic RNA polymerase enzyme is expressed in the appropriate cells (Elroy-Stein and 1990 Proc. Natl. Acad. Sci. U S A, 87, 6743-7; Moss, Gao and Huang 1993 Nucleic Acids Res., 21, 2867-72;

Lieber et al., 1993 Methods Enzymol., 217, 47-66; et al., 1990 Mol. Cell. Biol., 10, 4529-37). Several investigators have demonstrated that ribozymes expressed from such promoters can function in mammalian cells (e.g. Kashani-Sabet et al., 1992 Antisense Res. Dev., Ojwang et al., 1992 Proc. Natl. Acad. Sci. 2, 3-15; USA, 89, 10802-6; Chen et al., 1992 Nucleic Acids Res., 20, 4581-9; Yu et al., 1993 Proc. Natl. Acad. Sci. USA, 90, 6340-4; L'Huillier et al., 1992 EMBO J. 11, 4411-8; Lisziewicz et al., 1993 Proc. Natl. Acad. Sci. 10 USA., 90, 8000-4; Thompson et al., 1995 Nucleic Acids Res. 23, 2259). The above ribozyme transcription units can be incorporated into a variety of vectors introduction into mammalian cells, including but not restricted to, plasmid DNA vectors, viral DNA vectors 15 (such as adenovirus or adeno-associated virus vectors), or viral RNA vectors (such as retroviral or alphavirus vectors) (for a review see Couture and Stinchcomb, 1996, supra).

a preferred embodiment of the invention, a 20 transcription unit expressing a ribozyme that cleaves mRNAs encoded by c-fos is inserted into a plasmid DNA vector or an adenovirus or adeno-associated virus DNA viral vector or a retroviral RNA vector. Viral vectors have been used to transfer genes and lead to either 25 transient or long term gene expression (Zabner et al., 1993 Cell 75, 207; Carter, 1992 Curr. Opi. Biotech. 3, 533). The adenovirus vector is delivered as recombinant adenoviral particles. The DNA may be delivered alone or complexed with vehicles (as described for RNA above). 30 The recombinant adenovirus or AAV particles are locally administered to the site of treatment, e.g., through incubation or inhalation in vivo or by direct

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application to cells or tissues ex vivo. Retroviral vectors have also been used to express ribozymes in mammalian cells (Ojwang et al., 1992 supra; Thompson et al., 1995 supra; Couture and Stinchcomb, 1996, supra).

In another preferred embodiment, the ribozyme is administered to the site of *c-fos* expression (e.g., tumor cells) in an appropriate liposomal vesicle.

#### Examples

## Ability Of Ribozymes Directed Against c-fos RNA To Modulate gene expression and Cell Proliferation

The following examples demonstrate the selection of ribozymes that cleave c-fos RNA. The methods described herein represent a scheme by which ribozymes may be derived that cleave other RNA targets required for cell Also provided is a description of how such division. ribozymes may be delivered to cells. The examples demonstrate that upon delivery, the ribozymes inhibit culture and modulate proliferation in Moreover, significantly reduced expression in vivo. inhibition is observed if mutated ribozymes that are catalytically inactive are applied to the cells. inhibition requires the catalytic activity of the ribozymes.

# Example 1: Identification of Potential Ribozyme Cleavage Sites in Human c-fos RNA

The sequence of human c-fos RNA was screened for accessible sites using a computer folding algorithm. Regions of the mRNA that did not form secondary folding structures and contained potential hammerhead and/or hairpin ribozyme cleavage sites were identified. The

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sequences of these cleavage sites are shown in tables III and IV.

### Example 2: Selection of Ribozyme Cleavage Sites in Human c-fos RNA

To test whether the sites predicted by computer-based RNA folding algorithm corresponded to accessible sites in c-fos RNA, 14 hammerhead sites were selected for analysis. Ribozyme target sites were chosen by analyzing genomic sequences of human c-fos (GenBank Accession No. K00650 and GenBank Accession No. M16287, respectively; van Straaten et al., 1983, Proc. Natl. Acad. Sci. USA, 80, 3183) and prioritizing the sites on basis of folding. Hammerhead ribozymes were designed that could bind each target (see Figure 2C) and 15 individually analyzed by computer (Christoffersen et al., 1994 J. Mol. Struc. Theochem, 311, 273; Jaeger et al., 1989, Proc. Natl. Acad. Sci. USA, 86, 7706) to assess whether the ribozyme sequences fold into the appropriate secondary structure. Those 20 ribozymes with unfavorable intramolecular interactions between the binding arms and the catalytic core were eliminated from consideration. As noted below, varying binding arm lengths can be chosen to optimize activity. Generally, at least 5 bases on each arm are able to bind 25 to, or otherwise interact with, the target RNA.

### Example 3: Chemical Synthesis and Purification of Ribozymes for Efficient Cleavage of c-fos RNA

Ribozymes of the hammerhead or hairpin motif were designed to anneal to various sites in the RNA message. The binding arms are complementary to the target site sequences described above. The ribozymes were

chemically synthesized. The method of synthesis used followed the procedure for normal RNA synthesis as described in Usman et al., (1987 J. Am. Chem. Soc., 109, 7845), Scaringe et al., (1990 Nucleic Acids Res., 18, 5433) and Wincott et al., supra, and made use of 5 common nucleic acid protecting and coupling groups, such as dimethoxytrityl at the 5'-end, and phosphoramidites The average stepwise coupling yields at the 3'-end. Inactive ribozymes were synthesized by were >98%. substituting a U for  $G_5$  and a U for  $A_{14}$  (numbering from 10 Nucleic Acids Res., 20, 3252). Hertel et al., 1992 Hairpin ribozymes were synthesized in two parts and annealed to reconstruct the active ribozyme (Chowrira and Burke, 1992 Nucleic Acids Res., 20, 2835-2840). Ribozymes were also synthesized from DNA templates using 15 bacteriophage T7 RNA polymerase (Milligan and Uhlenbeck, 1989, Methods Enzymol. 180, 51). All ribozymes were modified to enhance stability by modification with nuclease resistant groups, for example, 2'-amino, 2'-Callyl, 2'-flouro, 2'-O-methyl, 2'-H (for a review see 20 Usman and Cedergren, 1992 TIBS 17, 34). Ribozymes were purified by gel electrophoresis using general methods or were purified by high pressure liquid chromatography (HPLC; See Wincott et al., supra; the totality of which is hereby incorporated herein by reference) and were 25 resuspended in water. The sequences of the chemically synthesized ribozymes used in this study are shown below in Table III and IV.

#### Example 4: Ribozyme Cleavage of c-fos RNA Target

Fourteen hammerhead-type ribozymes targeted to the human c-fos RNA were designed and synthesized to test the cleavage activity in vitro. The target sequences

and the nucleotide location within the c-fos mRNA are given in Table III. All hammerhead ribozymes were synthesized with binding arm (Stems I and III; see Figure 2C) lengths of seven nucleotides. The relative abilities of these ribozymes to cleave human c-fos RNA is summarized in Figure 6.

Full-length or partially full-length, internallylabeled target RNA for ribozyme cleavage assay was prepared by in vitro transcription in the presence of  $[\Box -32_{\rm P}]$  CTP, passed over a G 50 Sephadex column by spin 10 chromatography and used as substrate RNA without further Alternately, substrates were 5'-32P-end purification. labeled using T4 polynucleotide kinase enzyme. were performed by pre-warming a 2X concentration of purified ribozyme in ribozyme cleavage buffer (50 mM 15 Tris-HCl, pH 7.5 at 37°C, 10 mM MgCl<sub>2</sub>) and the cleavage reaction was initiated by adding the 2X ribozyme mix to an equal volume of substrate RNA (maximum of 1-5 nM) that was also pre-warmed in cleavage buffer. 20 initial screen, assays were carried out for 1 hour at 37°C using a final concentration of either 40 nM or 1  $\square$ M ribozyme, i.e., ribozyme excess. The reaction was quenched by the addition of an equal volume of formamide, 20 mM EDTA, 0.05% bromophenol blue and 0.05% xylene cyanol after which the sample was heated to 95°C 25 minutes, quick chilled and loaded 2 onto a denaturing polyacrylamide gel. Substrate RNA and the specific RNA cleavage products generated by ribozyme cleavage were visualized on an autoradiograph of the The percentage of cleavage was determined by 30 gel. Phosphor Imager® quantitation of bands representing the intact substrate and the cleavage products.

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As shown in Figure 6, all 14 hammerhead ribozymes cleaved their target RNAs in a sequence-specific manner.

## Example 5: Ability of c-fos Ribozymes to Inhibit Smooth Muscle Cell Proliferation.

The ribozymes that cleaved c-fos RNA described 5 above were assayed for their effect on smooth muscle cell proliferation. Human vascular smooth muscle cells were isolated and cultured as follows. Human aortic smooth muscle cells (AOSMC) were obtained from Clonetics and were grown in SmGM (Clonetics $^{\textcircled{\scriptsize B}}$ ). Cells from passage 10 five or six were used for assays. For the cell proliferation assays, 24-well tissue culture plates were prepared by coating the wells with 0.2% gelatin and washing once with phosphate-buffered saline AOSMC were inoculated at  $1 \times 10^4$  cells per well in 1 ml of 15 SmGM plus 10% FBS and additives and incubated for 24 The cells were subconfluent when plated at this The cells were serum-starved by removing the density. medium, washing once with PBS, and incubating 48-72 hours in SmBM plus 0.5% FBS. 20

In several other systems, cationic lipids have been shown to enhance the bioavailability of oligonucleotides to cells in culture (Bennet, C. F., et al., 1992, Mol. Pharmacology, **41**, 1023-1033). In many of the following ribozymes were complexed with cationic experiments, The cationic lipid, Lipofectamine (a 3:1 (w/w)(2,3-dioleyloxy-N-[2(spermine-DOSPA of carboxamido) ethyl]-N, N-dimethyl-1-propanaminium trifluoroacetate) and dioleoyl phosphatidylethanolamine (DOPE)), was purchased from Life Technologies, (N-[1-(2,3-ditetra-decyloxy)propyl]-N,N-dimethyl-DMRIE N-hydroxyethylammonium bromide) was obtained from VICAL.

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DMRIE was resuspended in CHCl3 and mixed at a 1:1 molar ratio with dioleoyl phosphatidylethanolamine (DOPE). The CHCl3 was evaporated, the lipid was resuspended in water, vortexed for 1 minute and bath sonicated for 5 Ribozyme and cationic lipid mixtures were minutes. **DMEM** immediately prior serum-free prepared in addition to the cells. DMEM plus additives was warmed to room temperature (about 20-25°C), cationic lipid was to the final desired concentration and solution was vortexed briefly. RNA oligonucleotides were added to the final desired concentration and the solution was again vortexed briefly and incubated for 10 room temperature. In dose response at minutes experiments, the RNA/lipid complex was serially diluted into DMEM following the 10 minute incubation.

Serum-starved smooth muscle cells were washed twice with PBS, and the RNA/lipid complex was added. The plates were incubated for 4 hours at 37°C. The medium was then removed and DMEM containing 10% FBS, additives and 10 µM bromodeoxyuridine (BrdU) was added. In some wells. FBS was omitted to determine the baseline of unstimulated proliferation. The plates were incubated at 37°C for 20-24 hours, fixed with 0.3%  $H_2O_2$  in 100% methanol, and stained for BrdU incorporation by standard In this procedure, cells that have prolimethods. BrdU stain brown; and incorporated ferated proliferating cells are counter-stained a light purple. Both BrdU positive and BrdU negative cells were counted under the microscope. 300-600 total cells per well were In the following experiments, the percentage counted. of the total cells that have incorporated BrdU (% cell proliferation) is presented. Errors represent the range of duplicate wells. Percent inhibition then is calcu-

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lated from the % cell proliferation values as follows:
% inhibition = 100-100((Ribozyme - 0% serum)/(Control 0% serum)).

Fifteen stabilized hammerhead ribozymes with Callyl modification (see Figure 9B) were delivered at a concentration of 0.3 µM, complexed with DMRIE/DOPE such that the cationic lipid charges and the anionic RNA charges were at 1:1 molar ratio. The results, shown in Figure 7, demonstrate the efficacy of ribozymes directed against different sites within c-fos RNA. The control, inactive ribozymes that cannot cleave c-fos RNA due to alterations in their catalytic core sequence fail to inhibit smooth muscle cell proliferation. Thus, inhibition of cell proliferation by these hammerhead sequences is due to their ability to cleave c-fos RNA, and not because of any non-ribozyme activity.

## Example 6: Ribozymes Inhibit Proliferation of Smooth Muscle Cells in a Dose-Dependent Fashion.

If the inhibition of proliferation observed in Example 5 is caused by the ribozymes, the level of 20 inhibition should be proportional to the dose of RNA Human aortic smooth muscle cells were assayed for proliferation in the presence of differing doses of an amino (see Figure 9A) site 268 hammerhead ribozymes. result shown in Figure 8, indicates that 25 The hammerhead ribozymes that cleave c-fos RNA at sites 268 inhibit SMC proliferation in a dose-dependent fashion. Ribozymes were delivered with the cationic lipid, charge ratio. In this 1:1 Lipofectamine at a 92 1% 30 experiment, 10% FBS (no ribozyme) qave proliferation; 0% FBS gave 6 ± 1% proliferation. The control is an inactive ribozyme and shows no inhibition over the dose range tested. The control ribozyme

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contains the same catalytic core sequences as the active ribozymes but differs in its catalytic core sequence. Thus, ribozyme inhibition of smooth muscle cell proliferation requires sequence-specific binding and cleavage by the hammerhead ribozymes targeted against c-fos RNA.

## Example 7: Modulation of Stromelysin Gene Expression in Rabbit Knee by c-fos Ribozyme.

In order to extend the ribozyme efficacy in cell culture, applicant has chosen to use rabbit knee as a 10 ribozyme-mediated reasonable animal model to study inhibition of rabbit stromelysin protein expression. Applicant selected a amino hammerhead (HH) ribozyme (268 Amino Ribozyme), targeted to site 268 within human c-fos RNA, for animal studies. This has enabled applicant to 15 compare the efficacy of the same ribozyme in human as well as in rabbit systems.

Male New Zealand White Rabbits (3-4 Kg) anaesthetized with ketamine-HCl/xylazine and injected in both knees with 100 □g intra-articularly (I.T.) (268 Amino Ribozyme) in 0.5 ml phosphate ribozyme buffered saline (PBS) or PBS alone (Controls). (human recombinant IL-10, 25 ng) was administered I.T., 24 hours following the ribozyme administration. rabbit received IL-1 in one knee and PBS alone in the The synovium was harvested 6 hours post IL-1 other. infusion, snap frozed in liquid nitrogen, and stored at -80°C. Total RNA is extracted with TRIzol reagent (GIBCO BRL, Gaithersburg, MD), and was analyzed by Northern-blot analysis and/or RNase-protection assay. Briefly, 0.5 □g cellular RNA was separated on 1.0 % agarose/formaldehyde gel and transferred to Zeta-Probe GT nylon membrane (Bio-Rad, Hercules, CA) by capillary

transfer for ~16 hours. The blots were baked for two hours and then pre-hybridized for 2 hours at 65°C in 10 Church hybridization buffer (7 % 500 mM SDS. phosphate, 1 mM EDTA, 1% Bovine Serum Albumin). The blots were hybridized at 65°C for ~16 hours with cpm/ml of full length <sup>32</sup>P-labeled complementary (cRNA) probes to rabbit stromelysin mRNA (cRNA added to the pre-hybridization buffer along with 100 □l 10mg/ml The blot was rinsed once with 5% salmon sperm DNA). SDS, 25 mM phosphate, 1 mM EDTA and 0.5% BSA for 10 min 10 This was followed by two washes at room temperature. (10 min each wash) with the same buffer at 65°C, which was then followed by two washes (10 min each wash) at 65°C with 1% SDS, 25 mM phosphate and 1 mM EDTA. blot was autoradiographed. The blot was reprobed with a 15 100 nt cRNA probe to 18S rRNA as described above. Following autoradiography, the stromelysin expression was quantified on a scanning densitometer, which is followed by normalization of the data to the 18S rRNA 20 band intensities.

As shown in Table V, catalytically active 268 Amino Ribozyme mediates a decrease in the expression of stromelysin RNA in rabbit knees. The inhibition appears to be sequence-specific and ranges from 40-47%.

#### 25 Optimizing Ribozyme Activity

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et al., supra, describes the general Sullivan, methods for delivery of enzymatic RNA molecules. indicate Examples above data presented in different cationic lipids can deliver active ribozymes to smooth muscle cells. Experiments similar to those in above-mentioned Examples are used to performed determine which lipids give optimal delivery of

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ribozymes to specific cells. Other such delivery methods are known in the art and can be utilized in this invention.

The proliferation of smooth muscle cells can also be inhibited by the direct addition of chemically 5 stabilized ribozymes. Presumably, uptake is mediated by passive diffusion of the anionic nucleic acid across the cell membrane. In this case, efficacy could be greatly enhanced by directly coupling a ligand to the ribozyme. ribozymes are then delivered to the cells 10 receptor-mediated uptake. Using such conjugated adducts, cellular uptake can be increased by several orders of magnitude without having to alter phosphodiester linkages necessary for ribozyme cleavage 15 activity.

Alternatively, ribozymes may be administered to cells by a variety of methods known to those familiar to the art, including, but not restricted to, encapsulation in liposomes, by iontophoresis, or by incorporation into other vehicles, such as hydrogels, cyclodextrins, nanocapsules, and bioadhesive biodegradable The RNA/vehicle combination is locally microspheres. delivered by direct injection or by use of a catheter, Alternative routes of delivery infusion pump or stent. include, limited to, intramuscular but are not injection, aerosol inhalation, oral (tablet or pill form), topical, systemic, ocular, intraperitoneal and/or intrathecal delivery. More detailed descriptions of ribozyme delivery and administration are provided in Sullivan, et al., supra and Draper, et al., supra which have been incorporated by reference herein.

Chemical modifications, ribozyme sequences and ribozyme motifs described in this invention are meant to be non-limiting examples, and those skilled in the art

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will recognize that other modifications (base, sugar and phosphate modifications) to enhance nuclease stability of a ribozyme can be readily generated using standard techniques and are hence within the scope of this invention.

# Use of Ribozymes Targeting $c ext{-}fos$

Overexpression of the  $c ext{-}fos$  oncogene has been reported in a number of cancers (see above). Thus, (for example using inhibition of c-fos expression ribozymes) can reduce cell proliferation of a number of cancers, in vitro and in vivo and can reduce their proliferative potential. A cascade of MMP and serine proteinase expression is implicated in the acquisition of an invasive phenotype as well as in angiogenesis in 1995, Cancer Matrisian, & (MacDougall tumors Metastasis Reviews 14, 351; Ritchlin & Winchester, 1989, Springer Semin Immunopathol., 11, 219).

their catalytic activity with Ribozymes, increased site specificity (see above), are likely to represent a potent and safe therapeutic molecule for the invention, present In the of cancer. treatment smooth muscle cell ribozymes are shown to inhibit proliferation and stromelysin gene expression. From those practiced in the art, it is clear from the examples described, that the same ribozymes may be delivered in a similar fashion to cancer cells to block their proliferation.

Chronic wound healing: Metalloproteinase expression is undetectable in normal epidermis, but is stimulated upon wounding. Although protease expression is required for tissue remodelling in normal wound healing, it is likely than excessive proteolytic activity contributes to the pathology of chronic ulcers. Collagenase

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expression in basal keratinocytes at the advancing edge of wounds is correlated with degree of ulceration (Saarialho-Kere et al., 1992, J. Clin. Invest. 90:1952) has been implicated in the pathophysiology of and blistering disease, recessive dystrophic chronic epidermolysis bullosa and skin cancer (Lin et al., 1995, Stromelysin 1 and 2 are both FASEB J. 9, 1371-77). expressed by keratinocytes in a variety of chronic Since c-fos regulates the expression of all of these MMPs, ribozymes targeting c-fos could potentially lead to enhanced re-epithelialization of ulcers.

Matrix metalloproteinases Arthritis: implicated in the degradation of frequently been associated with both rheumatoid cartilage osteoarthritis (Hembry et al., 1995, Ann. Rheum. Dis., 54, 25-32; Okada et al., 1992, Lab. Invest. 66, 680). appear to contribute to Since multiple MMPs destructive phenotype, the ability to inhibit the entire MMP family would be desirable. In addition, c-fos has been shown to be required for MHC class I expression 1988, al., Oncogene 2,119). (Kushtai et inhibition of c-fos expression by ribozymes would likely reduce both the cartilage catabolism associated with MMP expression and also the underlying immune response triggering rheumatoid arthritis. C-fos ribozymes therefore show considerable promise as therapeutics for arthritis.

### Diagnostic uses

Ribozymes of this invention may be used as diagnostic tools to examine genetic drift and mutations within diseased cells or to detect the presence of c-fos RNA in a cell. The close relationship between ribozyme

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activity and the structure of the target RNA allows the detection of mutations in any region of the molecule which alters the base-pairing and three-dimensional By using multiple target RNA. the structure of invention, one may map ribozymes described in this nucleotide changes which are important to RNA structure and function in vitro, as well as in cells and tissues. Cleavage of target RNAs with ribozymes may be used to and define the role expression inhibit gene products specified gene of (essentially) progression of disease. In this manner, other genetic targets may be defined as important mediators of the better will lead to These experiments treatment of the disease progression by affording the possibility of combinational therapies (e.g., multiple ribozymes targeted to different genes, ribozymes coupled with known small molecule inhibitors, or intermittent treatment with combinations of ribozymes and/or other chemical or biological molecules). Other in vitro uses of ribozymes of this invention are well known in the art, and include detection of the presence of mRNAs Such RNA is associated with c-fos $\square$ related condition. detected by determining the presence of a cleavage product after treatment with a ribozyme using standard methodology.

In a specific example, ribozymes which can cleave only wild-type or mutant forms of the target RNA are The first ribozyme is used to used for the assay. identify wild-type RNA present in the sample and the second ribozyme will be used to identify mutant RNA in the sample. As reaction controls, synthetic substrates of both wild-type and mutant RNA will be cleaved by both relative ribozyme demonstrate the ribozymes to the efficiencies in the reactions and absence

cleavage of the "non-targeted" RNA species. The cleavage products from the synthetic substrates will also serve to generate size markers for the analysis of wild-type and mutant RNAs in the sample population. Thus each analysis will require two ribozymes, 5 substrates and one unknown sample which will be combined The presence of cleavage products into six reactions. will be determined using an RNAse protection assay so that full-length and cleavage fragments of each RNA can be analyzed in one lane of a polyacrylamide gel. 10 not absolutely required to quantify the results to gain insight into the expression of mutant RNAs and putative risk of the desired phenotypic changes in target cells. expression of mRNA whose protein product is The implicated in the development of the phenotype (i.e., c-15 fos) is adequate to establish risk. If probes of activity used for both comparable specific are transcripts, then a qualitative comparison of RNA levels will be adequate and will decrease the cost of the Higher mutant form to wild-type 20 initial diagnosis. ratios will be correlated with higher risk whether RNA levels are compared qualitatively or quantitatively.

Other embodiments are within the following claims.

### TABLE I:

# Characteristics of naturally occurring ribozymes

### Group I Introns

- Size: ~150 to >1000 nucleotides.
- Requires a U in the target sequence immediately 5' of the cleavage site.
  - Binds 4-6 nucleotides at the 5'-side of the cleavage site.
- Reaction mechanism: attack by the 3'-OH of
   guanosine to generate cleavage products with 3'-OH and 5'-guanosine.
  - Additional protein cofactors required in some cases to help folding and maintenance of the active structure [1].
- Over 300 known members of this class. Found as an intervening sequence in *Tetrahymena thermophila* rRNA, fungal mitochondria, chloroplasts, phage T4, blue-green algae, and others.
- Major structural features largely established
   through phylogenetic comparisons, mutagenesis, and biochemical studies [2,3].
  - Complete kinetic framework established for one ribozyme [4,5,6,7].
- Studies of ribozyme folding and substrate docking underway [8,9,10].
  - Chemical modification investigation of important residues well established [11,12].
- The small (4-6 nt) binding site may make this ribozyme too non-specific for targeted RNA cleavage, however, the Tetrahymena group I intron has been used to repair a "defective"  $\beta\text{-galactosidase}$  message by the ligation of new  $\beta\text{-galactosidase}$  sequences onto the defective message [13].

### 35 RNAse P RNA (Ml RNA)

- Size: ~290 to 400 nucleotides.
- RNA portion of a ubiquitous ribonucleoprotein enzyme.
- Cleaves tRNA precursors to form mature tRNA [14].
  40 Reaction mechanism: possible attack by M<sup>2+</sup>-OH to

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generate cleavage products with 3'-OH and 5'-phosphate.

- RNAse P is found throughout the prokaryotes and eukaryotes. The RNA subunit has been sequenced from bacteria, yeast, rodents, and primates.
- Recruitment of endogenous RNAse P for therapeutic applications is possible through hybridization of an External Guide Sequence (EGS) to the target RNA [15,16]
- Important phosphate and 2' OH contacts recently identified [17,18]

### Group 11 Introns

- Size: >1000 nucleotides.
- Trans cleavage of target RNAs recently demonstrated [19,20].
  - Sequence requirements not fully determined.
  - Reaction mechanism: 2'-OH of an internal adenosine generates cleavage products with 3'-OH and a "lariat" RNA containing a 3'-5' and a 2'-5' branch point.
  - Only natural ribozyme with demonstrated participation in DNA cleavage [21,22] in addition to RNA cleavage and ligation.
- Major structural features largely established
   through phylogenetic comparisons [23].
  - Important 2' OH contacts beginning to be identified [24]
  - Kinetic framework under development [25]

### Neurospora VS RNA

- 30 ◆ Size: ~144 nucleotides.
  - Trans cleavage of hairpin target RNAs recently demonstrated [26].
  - Sequence requirements not fully determined.
- Reaction mechanism: attack by 2'-OH 5' to the scissile bond to generate cleavage products with 2',3'-cyclic phosphate and 5'-OH ends.
  - Binding sites and structural requirements not fully determined.
- Only 1 known member of this class. Found in Neurospora VS RNA.

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### Hammerhead Ribozyme

## (see text for references)

- Size: ~13 to 40 nucleotides.
- Requires the target sequence UH immediately 5' of the cleavage site.
  - Binds a variable number nucleotides on both sides of the cleavage site.
  - Reaction mechanism: attack by 2'-OH 5' to the scissile bond to generate cleavage products with 2',3'-cyclic phosphate and 5'-OH ends.
  - 14 known members of this class. Found in a number of plant pathogens (virusoids) that use RNA as the infectious agent.
- Essential structural features largely defined, including 2 crystal structures []
  - Minimal ligation activity demonstrated (for engineering through in vitro selection) []
  - Complete kinetic framework established for two or more ribozymes [].
- Chemical modification investigation of important residues well established [].

### Hairpin Ribozyme

- Size: ~50 nucleotides.
- Requires the target sequence GUC immediately 3' of the cleavage site.
  - Binds 4-6 nucleotides at the 5'-side of the cleavage site and a variable number to the 3'-side of the cleavage site.
- Reaction mechanism: attack by 2'-OH 5' to the scissile bond to generate cleavage products with 2',3'-cyclic phosphate and 5'-OH ends.
  - 3 known members of this class. Found in three plant pathogen (satellite RNAs of the tobacco ringspot virus, arabis mosaic virus and chicory yellow mottle virus) which uses RNA as the infectious
  - agent.
    Essential structural features largely defined [27,28,29,30]
- Ligation activity (in addition to cleavage
   activity) make ribozyme amenable to engineering through in vitro selection [31]
  - Complete kinetic framework established for one ribozyme [32].

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• Chemical modification investigation of important residues begun [33,34].

### Hepatitis Delta Virus (HDV) Ribozyme

- Size: ~60 nucleotides.
- 5 Trans cleavage of target RNAs demonstrated [35].
  - Binding sites and structural requirements not fully determined, although no sequences 5' of cleavage site are required. Folded ribozyme contains a pseudoknot structure [36].
- Reaction mechanism: attack by 2'-OH 5' to the scissile bond to generate cleavage products with 2',3'-cyclic phosphate and 5'-OH ends.
  - Only 2 known members of this class. Found in human HDV.
- Circular form of HDV is active and shows increased nuclease stability [37]
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Table II: 2.5  $\mu$ mol RNA Synthesis Cycle

Equivalents	Amount	Wait Time*
_		
6.5	$163~\mu  ext{L}$	2.5
23.8	$238 \mu L$	2.5
100	$233~\mu$ L	5 sec
186	$233~\mu L$	5 sec
83.2	1.73 mL	21 sec
8.0	1.18 mL	45 sec
NA	6.67 mL	NA
	100 186 83.2 8.0	$\begin{array}{cccccccccccccccccccccccccccccccccccc$

<sup>\*</sup> Wait time does not include contact time during delivery.

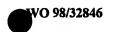


Table III

TABLE III: Human C-fos Hammerhead Ribozyme and Target Sequences

nt Position	Target site	SEQ. ID.NOS	Ribozyme Sequence	SEQ. ID.NOS
9	AACCGCAUC UGCAGCGA	1	UCGCUGCA CUGAUGA X GAA AUGCGGUU	141
80	ACCGUGCUC CUACCCAG	2	CUGGGUAG CUGAUGA X GAA AGCACGGU	142
83	GUGCUCCUA CCCAGCUC	3	GAGCUGGG CUGAUGA X GAA AGGAGCAC	143
	ACCCAGCUC UGCUUCAC	4	GUGAAGCA CUGAUGA X GAA AGCUGGGU	144
91	GCUCUGCUU CACAGCGC	5	GCGCUGUG CUGAUGA X GAA AGCAGAGC	145
96		6	GGCGCUGU CUGAUGA X GAA AAGCAGAG	146
97	CUCUGCUUC ACAGCGCC CCACCUGUC UCCGCCCC	7	GGGGCGGA CUGAUGA X GAA ACAGGUGG	147
113	CCACCUGUC OCCGCCCC	8	GAGGGGC CUGAUGA X GAA AGACAGGU	148
115	ACCUGUCUC CGCCCCUC		GAGGGGCC CUGAUGA X GAA AGGGGCGG	149
123	CCGCCCCUC GGCCCCUC	9	AGCCGGCC CUGAUGA X GAA AGGGGCCG	150
131	CGGCCCCUC GCCCGGCU	10	GUUAGGCA CUGAUGA X GAA AGCCGGGC	151
140	GCCCGGCUU UGCCUAAC	11		152
141	CCCGGCUUU GCCUAACC	12	GGUUAGGC CUGAUGA X GAA AAGCCGGG	
146	CUUUGCCUA ACCGCCAC	13	GUGGCGGU CUGAUGA X GAA AGGCAAAG	153
163	GAUGAUGUU CUCGGGCU	14	AGCCCGAG CUGAUGA X GAA ACAUCAUC	154
164	AUGAUGUUC UCGGGCUU	15	AAGCCCGA CUGAUGA X GAA AACAUCAU	155
172	CUCGGGCUU CAACGCAG	16	CUGCGUUG CUGAUGA X GAA AGCCCGAG	156
173	UCGGGCUUC AACGCAGA	17	UCUGCGUU CUGAUGA X GAA AAGCCCGA	157
184	CGCAGACUA CGAGGCGU	18	ACGCCUCG CUGAUGA X GAA AGUCUGCG	158
196	GGCGUCAUC CUCCCGCU	19	AGCGGGAG CUGAUGA X GAA AUGACGCC	159
199	GUCAUCCUC CCGCUGCA	20	UGCAGCGG CUGAUGA X GAA AGGAUGAC	160
217	CAGCGCGUC CCCGGCCG	21	CGGCCGGG CUGAUGA X GAA ACGCGCUG	161
	CCGGGGAUA GCCUCUCU	22	AGAGAGGC CUGAUGA X GAA AUCCCCGG	162
231	GAUAGCCUC UCUUACUA	23	UAGUAAGA CUGAUGA X GAA AGGCUAUC	163
236	GAUAGCCUC UCUUACUA	24	GGUAGUAA CUGAUGA X GAA AGAGGCUA	164
238	UAGCCUCUC UUACUACC		GUGGUAGU CUGAUGA X GAA AGAGAGGC	165
240	GCCUCUCUU ACUACCAC	25		166
241	CCUCUCUUA CUACCACU	26	AGUGGUAG CUGAUGA X GAA AAGAGAGG	167
244	CUCUUACUA CCACUCAC	27	GUGAGUGG CUGAUGA X GAA AGUAAGAG	
250	CUACCACUC ACCCGCAG	28	CUGCGGGU CUGAUGA X GAA AGUGGUAG	168
262	CGCAGACUC CUUCUCCA	29	UGGAGAAG CUGAUGA X GAA AGUCUGCG	169
265	AGACUCCUU CUCCAGCA	30	UGCUGGAG CUGAUGA X GAA AGGAGUCU	170
266	GACUCCUUC UCCAGCAU	31	AUGCUGGA CUGAUGA X GAA AAGGAGUC	171
268	CUCCUUCUC CAGCAUGG	32	CCAUGCUG CUGAUGA X GAA AGAAGGAG	172
280	CAUGGGCUC GCCUGUCA	33	UGACAGGC CUGAUGA X GAA AGCCCAUG	173
287	UCGCCUGUC AACGCGCA	34	UGCGCGUU CUGAUGA X GAA ACAGGCGA	174
301	GCAGGACUU CUGCACGG	35	CCGUGCAG CUGAUGA X GAA AGUCCUGC	175
302	CAGGACUUC UGCACGGA	36	UCCGUGCA CUGAUGA X GAA AAGUCCUG	176
320	CUGGCCGUC UCCAGUGC	37	GCACUGGA CUGAUGA X GAA ACGGCCAG	177
	GGCCGUCUC CAGUGCCA	38	UGGCACUG CUGAUGA X GAA AGACGGCC	178
322		39	UGGGAAUG CUGAUGA X GAA AGUUGGCA	179
334	UGCCAACUU CAUUCCCA		GUGGGAAU CUGAUGA X GAA AAGUUGGC	180
335	GCCAACUUC AUUCCCAC	40		181
338_	AACUUCAUU CCCACGGU	41	ACCGUGGG CUGAUGA X GAA AUGAAGUU	182
339	ACUUCAUUC CCACGGUC	42	GACCGUGG CUGAUGA X GAA AAUGAAGU	
347	CCCACGGUC ACUGCCAU	43	AUGGCAGU CUGAUGA X GAA ACCGUGGG	183
356	ACUGCCAUC UCGACCAG	44	CUGGUCGA CUGAUGA X GAA AUGGCAGU	184
358	UGCCAUCUC GACCAGUC	45	GACUGGUC CUGAUGA X GAA AGAUGGCA	185
366	CGACCAGUC CGGACCUG	46	CAGGUCCG CUGAUGA X GAA ACUGGUCG	186
398	CCCGCCCUC GUCUCCUC	47	GAGGAGAC CUGAUGA X GAA AGGGCGGG	187
401	GCCCUCGUC UCCUCUGU	48	ACAGAGGA CUGAUGA X GAA ACGAGGGC	188
403	CCUCGUCUC CUCUGUGG	49	CCACAGAG CUGAUGA X GAA AGACGAGG	189
406	CGUCUCCUC UGUGGCCC	50	GGGCCACA CUGAUGA X GAA AGGAGACG	190
418	GGCCCAUC GCAGACCA	51	UGGUCUGC CUGAUGA X GAA AUGGGGCC	191
435	GAGCCCCUC ACCCUUUC	52	GAAAGGGU CUGAUGA X GAA AGGGGCUC	192
441	CUCACCCUU UCGGAGUC	53	GACUCCGA CUGAUGA X GAA AGGGUGAG	193
	UCACCCUUU CGGAGUCC	54	GGACUCCG CUGAUGA X GAA AAGGGUGA	194
442	CACCCUUU CGGAGGCC	55	GGGACUCC CUGAUGA X GAA AAAGGGUG	195
443	CACCCUUUC GGAGUCCC		GGGGCGGG CUGAUGA X GAA ACUCCGAA	196
449	UUCGGAGUC CCCGCCCC	56		197
460	CGCCCCCC CGCUGGGG	57	CCCCAGCG CUGAUGA X GAA AGGGGGCG	
471	CUGGGGCUU ACUCCAGG	58	CCUGGAGU CUGAUGA X GAA AGCCCCAG	198
472	UGGGGCUUA CUCCAGGG	59	CCCUGGAG CUGAUGA X GAA AAGCCCCA	199
475	GGCUUACUC CAGGGCUG	60	CAGCCCUG CUGAUGA X GAA AGUAAGCC	200
488	GCUGGCGUU GUGAAGAC	61	GUCUUCAC CUGAUGA X GAA ACGCCAGC	201

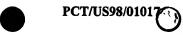


Table III

nt	Target site	SEQ.	Ribozyme Sequence	SEQ.
Position	0101001111 00010010	ID.NOS	CUCCUGCC CUGAUGA X GAA AUGCUCUG	ID.NOS
524	CAGAGCAUU GGCAGGAG GGAACAGUU AUCUCCAG	62 63	CUGGAGAU CUGAUGA X GAA ACUGUUCC	202
550 551	GAACAGUUA UCUCCAGA	64	UCUGGAGA CUGAUGA X GAA AACUGUUC	204
553	ACAGUUAUC UCCAGAAG	65	CUUCUGGA CUGAUGA X GAA AUAACUGU	205
555	AGUUAUCUC CAGAAGAA	66	UUCUUCUG CUGAUGA X GAA AGAUAACU	206
581	AGGAGAAUC CGAAGGGA	67	UCCCUUCG CUGAUGA X GAA AUUCUCCU	207
597	AAAGGAAUA AGAUGGCU	68	AGCCAUCU CUGAUGA X GAA AUUCCUUU	208
645	UGACUGAUA CACUCCAA	69	UUGGAGUG CUGAUGA X GAA AUCAGUCA	209
650	GAUACACUC CAAGCGGA	70	UCCGCUUG CUGAUGA X GAA AGUGUAUC	210
671	GACCAACUA GAAGAUGA	71	UCAUCUUC CUGAUGA X GAA AGUUGGUC	211
685	UGAGAAGUC UGCUUUGC	72	GCAAAGCA CUGAUGA X GAA ACUUCUCA GGUCUGCA CUGAUGA X GAA AGCAGACU	213
690 691	AGUCUGCUU UGCAGACC GUCUGCUUU GCAGACCG	74	CGGUCUGC CUGAUGA X GAA AAGCAGAC	214
704	ACCGAGAUU GCCAACCU	75	AGGUUGGC CUGAUGA X GAA AUCUCGGU	215
734	GAAAAACUA GAGUUCAU	76	AUGAACUC CUGAUGA X GAA AGUUUUUC	216
739	ACUAGAGUU CAUCCUGG	77	CCAGGAUG CUGAUGA X GAA ACUCUAGU	217
740	CUAGAGUUC AUCCUGGC	78	GCCAGGAU CUGAUGA X GAA AACUCUAG	218
743	GAGUUCAUC CUGGCAGC	79	GCUGCCAG CUGAUGA X GAA AUGAACUC	219
753	UGGCAGCUC ACCGACCU	80	AGGUCGGU CUGAUGA X GAA AGCUGCCA	220
773	UGCAAGAUC CCUGAUGA	81	UCAUCAGG CUGAUGA X GAA AUCUUGCA	221
790	CCUGGGCUU CCCAGAAG	82	CUUCUGGG CUGAUGA X GAA AGCCCAGG	222
791	CUGGGCUUC CCAGAAGA	83	UCUUCUGG CUGAUGA X GAA AAGCCCAG	223
805	AGAGAUGUC UGUGGCUU	84	AAGCCACA CUGAUGA X GAA ACAUCUCU AUCAAGGG CUGAUGA X GAA AGCCACAG	225
813	UGUGGCUU CCCUUGAU	85 86	AUCAAGGG CUGAUGA X GAA AGCCACAG GAUCAAGG CUGAUGA X GAA AAGCCACA	226
814	GCUUCCCUU GAUCUGAC	87	GUCAGAUC CUGAUGA X GAA AGGGAAGC	227
822	CCCUUGAUC UGACUGGG	88	CCCAGUCA CUGAUGA X GAA AUCAAGGG	228
845	CCAGAGGUU GCCACCCC	89	GGGGUGGC CUGAUGA X GAA ACCUCUGG	229
859	CCCGGAGUC UGAGGAGG	90	CCUCCUCA CUGAUGA X GAA ACUCCGGG	230
871	GGAGGCCUU CACCCUGC	91	GCAGGGUG CUGAUGA X GAA AGGCCUCC	231
872	GAGGCCUUC ACCCUGCC	92	GGCAGGGU CUGAUGA X GAA AAGGCCUC	232
882	CCCUGCCUC UCCUCAAU	93	AUUGAGGA CUGAUGA X GAA AGGCAGGG	233
884	CUGCCUCUC CUCAAUGA	94	UCAUUGAG CUGAUGA X GAA AGAGGCAG	234
887	CCUCUCCUC AAUGACCC	95	GGGUCAUU CUGAUGA X GAA AGGAGAGG	235 236
910	CAAGCCCUC AGUGGAAC	96	GUUCCACU CUGAUGA X GAA AGGGCUUG AUGCUCUU CUGAUGA X GAA ACAGGUUC	237
923	GAACCUGUC AAGAGCAU AAGAGCAUC AGCAGCAU	98	AUGCUCUU CUGAUGA X GAA ACAGGUUC AUGCUGCU CUGAUGA X GAA AUGCUCUU	238
932 961	CGAGCCCUU UGAUGACU	99	AGUCAUCA CUGAUGA X GAA AGGGCUCG	239
962	GAGCCCUUU GAUGACUU	100	AAGUCAUC CUGAUGA X GAA AAGGGCUC	240
970	UGAUGACUU CCUGUUCC	101	GGAACAGG CUGAUGA X GAA AGUCAUCA	241
971	GAUGACUUC CUGUUCCC	102	GGGAACAG CUGAUGA X GAA AAGUCAUC	242
976	CUUCCUGUU CCCAGCAU	103	AUGCUGGG CUGAUGA X GAA ACAGGAAG	243
977	UUCCUGUUC CCAGCAUC	104	GAUGCUGG CUGAUGA X GAA AACAGGAA	244
985	CCCAGCAUC AUCCAGGC	105	GCCUGGAU CUGAUGA X GAA AUGCUGGG	245
988	AGCAUCAUC CAGGCCCA	106	UGGGCCUG CUGAUGA X GAA AUGAUGCU CUGUCUCA CUGAUGA X GAA AGCCACUG	246
1003	CAGUGGCUC UGAGACAG AGCCCGCUC CGUGCCAG	107	CUGGCACG CUGAUGA X GAA AGCCACOG	248
1018 1037	AUGGACCUA UCUGGGUC	109	GACCCAGA CUGAUGA X GAA AGGUCCAU	249
1037	GGACCUAUC UGGGUCCU	110	AGGACCCA CUGAUGA X GAA AUAGGUCC	250
1045	AUCUGGGUC CUUCUAUG	111	CAUAGAAG CUGAUGA X GAA ACCCAGAU	251
1048	UGGGUCCUU CUAUGCAG	112	CUGCAUAG CUGAUGA X GAA AGGACCCA	252
1049	GGGUCCUUC UAUGCAGC	113	GCUGCAUA CUGAUGA X GAA AAGGACCC	253
1051	GUCCUUCUA UGCAGCAG	114	CUGCUGCA CUGAUGA X GAA AGAAGGAC	254
1071	GGGAGCCUC UGCACAGU	115	ACUGUGCA CUGAUGA X GAA AGGCUCCC	255
1084	CAGUGGCUC CCUGGGGA	116	UCCCCAGG CUGAUGA X GAA AGCCACUG	256
1131	UGUGCACUC CGGUGGUC	117	GACCACCG CUGAUGA X GAA AGUGCACA GUACAGGU CUGAUGA X GAA ACCACCGG	257 258
1139	CCGGUGGUC ACCUGUAC UCACCUGUA CUCCCAGC	118	GUACAGGU CUGAUGA X GAA ACCACCGG GCUGGGAG CUGAUGA X GAA ACAGGUGA	259
1146	CCUGUACUC CCAGCUGC	120	GCAGCUGG CUGAUGA X GAA ACUACAGG	260
1164	GCACUGCUU ACACGUCU	121	AGACGUGU CUGAUGA X GAA AGCAGUGC	261
1165	CACUGCUUA CACGUCUU	122	AAGACGUG CUGAUGA X GAA AAGCAGUG	262
1171	UUACACGUC UUCCUUCG	123	CGAAGGAA CUGAUGA X GAA ACGUGUAA	263
1173	ACACGUCUU CCUUCGUC	124	GACGAAGG CUGAUGA X GAA AGACGUGU	264
1174	CACGUCUUC CUUCGUCU	125	AGACGAAG CUGAUGA X GAA AAGACGUG	265
1177	GUCUUCCUU CGUCUUCA	126	UGAAGACG CUGAUGA X GAA AGGAAGAC	266
1178	UCUUCCUUC GUCUUCAC	127	GUGAAGAC CUGAUGA X GAA AAGGAAGA	267
1181	UCCUUCGUC UUCACCUA	128	UAGGUGAA CUGAUGA X GAA ACGAAGGA	268

Table III

nt	Target site	SEQ.	Ribozyme Sequence	SEQ.
Position	_	ID.NOS		ID.NOS
1183	CUUCGUCUU CACCUACC	129	GGUAGGUG CUGAUGA X GAA AGACGAAG	269
1184	UUCGUCUUC ACCUACCC	130	GGGUAGGU CUGAUGA X GAA AAGACGAA	270
1189	CUUCACCUA CCCCGAGG	131	CCUCGGGG CUGAUGA X GAA AGGUGAAG	271
1204	GGCUGACUC CUUCCCCA	132	UGGGGAAG CUGAUGA X GAA AGUCAGCC	272
1207	UGACUCCUU CCCCAGCU	133	AGCUGGGG CUGAUGA X GAA AGGAGUCA	273
1208	GACUCCUUC CCCAGCUG	134	CAGCUGGG CUGAUGA X GAA AAGGAGUC	274
1257	AUGAGCCUU CCUCUGAC	135	GUCAGAGG CUGAUGA X GAA AGGCUCAU	275
	UGAGCCUUC CUCUGACU	136	AGUCAGAG CUGAUGA X GAA AAGGCUCA	276
1258		137	GCGAGUCA CUGAUGA X GAA AGGAAGGC	277
1261	0000000	138	AGCUGAGC CUGAUGA X GAA AGUCAGAG	278
1267	CUCUGACUC GCUCAGCU			279
1271	GACUCGCUC AGCUCACC	139	0000::000	280
1276	GCUCAGCUC ACCCACGC	140	GCGUGGGU CUGAUGA X GAA AGCUGAGC	200

Where "X" represents stem II region of a HH ribozyme (Hertel et al., 1992 Nucleic Acids Res. 20 3252). The length of stem II may be  $\ge 2$  base-pairs.

TABLE IV: Human C-fos Hairpin Ribozyme and Target

# Sequence

		<del>, , , , , , , , , , , , , , , , , , , </del>		
nt. Position	Ribozyme Sequence	SEQ. ID. NOS.		SEQ. ID. NOS.
46	CGCCGC AGAA GCCG ACCAGAGAAACACACGUUGUGGUACAUUACCUGGUA	281	CGGCG GCC GCGCG	325
87	AAGCAG AGAA GGGU ACCAGAGAAACACACGUUGUGGUACAUUACCUGGUA	282	ACCCA GCU CUGCUU	326
92	CUGUGA AGAA GAGC ACCAGAGAAACACACGUUGUGGUACAUUACCUGGUA	283	GCUCU GCU UCACAG	327
110	GGCGGA AGAA GGUG ACCAGAGAAACACACGUUGUGGUACAUUACCUGGUA	284	CACCU GUC UCCGCC	328
116	CCGAGG AGAA GAGA ACCAGAGAAACACACGUUGUGGUACAUUACCUGGUA	285	UCUCC GCC CCUCGG	329
124	GCGAGG AGAA GAGG ACCAGAGAAACACACGUUGUGGUACAUUACCUGGUA	286	ccuce ecc ccucec	330
136	AGGCAA AGAA GGGC ACCAGAGAAACACACGUUGUGGUACAUUACCUGGUA	287	GCCCG GCU UUGCCU	331
179	CUCGUA AGAA GCGU ACCAGAGAAACACACGUUGUGGUACAUUACCUGGUA	288	ACGCA GAC UACGAG	332
201	UGCUGC AGAA GGAG ACCAGAGAAACACACGUUGUGGUACAUUACCUGGUA	289	CUCCC GCU GCAGCA	333
221	AUCCC AGAA GGGG ACCAGAGAAACACACGUUGUGGUACAUUACCUGGUA	290	CCCCG GCC GGGGAU	334
257	GAAGGA AGAA GCGG ACCAGAGAAACACACGUUGUGGUACAUUACCUGGUA	291	CCGCA GAC UCCUUC	335
308	GGCCAG AGAA GUGC ACCAGAGAAACACACGUUGUGGUACAUUACCUGGUA	292	GCACG GAC CUGGCC	336
317	ACUGGA AGAA GCCA ACCAGAGAAACACACGUUGUGGUACAUUACCUGGUA	293	UGGCC GUC UCCAGU	338
363	GGUCCG AGAA GGUC ACCAGAGAAACACACGUUGUGGUACAUUACCUGGUA	294	GACCA GUC CGGACC	338
368	CUGCAG AGAA GGAC ACCAGAGAAACACACGUUGUGGUACAUUACCUGGUA	295	GUCCG GAC CUGCAG	339
388	AGGGCG AGAA GCAC ACCAGAGAAACACACGUUGUGGUACAUUACCUGGUA	296	GUGCA GCC CGCCCU	340
392	GACGAG AGAA GGCU ACCAGAGAAACACACGUUGUGGUACAUUACCUGGUA	297	AGCCC GCC CUCGUC	341
421	GCUCUG AGAA GCGA ACCAGAGAAACACACGUUGUGGUACAUUACCUGGUA	298	UCGCA GAC CAGAGC	342
452	GGAGGG AGAA GGGA ACCAGAGAAACACACGUUGUGGUACAUUACCUGGUA	299	UCCCC GCC CCCUCC	343
461	AGCCCC AGAA GAGG ACCAGAGAAACACACGUUGUGGUACAUUACCUGGUA	300	CCUCC GCU GGGGCU	344
637	GUAUCA AGAA GCUC ACCAGAGAAACACACGUUGUGGUACAUUACCUGGUA	301	GAGCU GAC UGAUAC	345
662	UAGUUG AGAA GUCU ACCAGAGAAACACACGUUGUGGUACAUUACCUGGUA	302	AGACA GAC CAACUA	346
686	CUGCAA AGAA GACU ACCAGAGAAACACACGUUGUGGUACAUUACCUGGUA	303	AGUCU GCU UUGCAG	347
694	AUCUCG AGAA GCAA ACCAGAGAAACACACGUUGUGGUACAUUACCUGGUA	304	UUGCA GAC CGAGAU	348
712	UCCUUC AGAA GGUU ACCAGAGAAACACACGUUGUGGUACAUUACCUGGUA	305	AACCU GCU GAAGGA	349
749	UCGGUG AGAA GCCA ACCAGAGAAACACACGUUGUGGUACAUUACCUGGUA	306	UGGCA GCU CACCGA	350
756	AGGCAG AGAA GUGA ACCAGAGAAACACACGUUGUGGUACAUUACCUGGUA	307	UCACC GAC CUGCCU	351
761	CUUGCA AGAA GGUC ACCAGAGAAACACACGUUGUGGUACAUUACCUGGUA	308	GACCU GCC UGCAAG	<u> </u>
776	CAGGUC AGAA GGGA ACCAGAGAAACACACGUUGUGGUACAUUACCUGGUA	309	UCCCU GAU GACCUG	
823	CCCCCA AGAA GAUC ACCAGAGAAACACACGUUGUGGUACAUUACCUGGUA	310	GAUCU GAC UGGGGG	354
877	AGGAGA AGAA GGGU ACCAGAGAAACACACGUUGUGGUACAUUACCUGGUA	311	ACCCU GCC UCUCCU	355

Table IV

nt.	Ribozyme Sequence	SEQ. ID.	Target Sequence	SEQ. ID.
Position			UUCCU GUU CCCAGC	356
312	GCUGGG AGAA GGAA	312	UUCCU GOU CCCAGC	
	ACCAGAGAAACACACGUUGUGGUACAUUACCUGGUA		AGACA GCC CGCUCC	357
1010	GGAGCG AGAA GUCU	313	AGACA GCC CGCOCC	33,
	ACCAGAGAAACACACGUUGUGGUACAUUACCUGGUA		con comes	358
1014	GCACGG AGAA GGCU	314	AGCCC GCU CCGUGC	336
	ACCAGAGAAACACACGUUGUGGUACAUUACCUGGUA			359
1058	CUCCCA AGAA GCUG	315	CAGCA GAC UGGGAG	339
1030	ACCAGAGAAACACACGUUGUGGUACAUUACCUGGUA	1		7.50
1152	CAGUGC AGAA GGGA	316	UCCCA GCU GCACUG	360
1132	ACCAGAGAAACACACGUUGUGGUACAUUACCUGGUA			
1160	CGUGUA AGAA GUGC	317	GCACU GCU UACACG	361
1100	ACCAGAGAAACACACGUUGUGGUACAUUACCUGGUA		<u> </u>	
1199	GAAGGA AGAA GCCU	318	AGGCU GAC UCCUUC	362
1199	ACCAGAGAAACACACGUUGUGGUACAUUACCUGGUA			
1212	CUGCAC AGAA GGGG	319	CCCCA GCU GUGCAG	363
1212	ACCAGAGAAACACACGUUGUGGUACAUUACCUGGUA	1		
1220	GUGGGC AGAA GCAC	320	GUGCA GCU GCCCAC	364
1220	ACCAGAGAAACACACGUUGUGGUACAUUACCUGGUA			
1223	GCGGUG AGAA GCUG	321	CAGCU GCC CACCGC	365
1223	ACCAGAGAAACACGUUGUGGUACAUUACCUGGUA	1		1
1262	GAGCGA AGAA GAGG	322	CCUCU GAC UCGCUC	366
1262	ACCAGAGAAACACGUUGUGGUACAUUACCUGGUA			1
1070	UGGGUG AGAA GAGC	323	GCUCA GCU CACCCA	367
1272	ACCAGAGAAACACACGUUGUGGUACAUUACCUGGUA			.l
	AGGGCC AGAA GCGU	324	ACGCU GCU GGCCCU	368
1285	ACCAGAGAAACACACGUUGUGGUACAUUACCUGGUA			
L	ACCAGAGAAACACACGOOGOGGOACAGOACCOGGOA			

Table V: C-fos Ribozyme-Mediated Inhibition of Stromelysin Gene Expression In Vivo

Ribozymes		Percent Inhibition
268 Amino Ribozyme	(Exp. 1)	47±10%
268 Amino Ribozyme	(Exp. 2)	40±6%

### Claims

5

- 1. An enzymatic nucleic acid molecule which specifically cleaves RNA derived from a c-fos gene, wherein said enzymatic nucleic acid molecule is in a hairpin motif.
- An enzymatic nucleic acid molecule which specifi-2. cally cleaves RNA derived from a c-fos nucleic acid molecule is wherein said hammerhead motif, wherein the binding arms of said sequences comprises nucleic acid molecule 10 complementary to any of sequences defined as SEQ. ID. NOS. 1-140.
- 3. The enzymatic nucleic acid molecule of claim 2, wherein said nucleic acid molecule comprises a stem II region of length greater than or equal to 2 base pairs.
  - 4. The enzymatic nucleic acid molecule of claim 1, wherein said nucleic hairpin motif consists essentially of any sequence selected from the sequences defined as SEQ. ID NOS. 281-324.
  - 5. The enzymatic nucleic acid molecule of claim 1, wherein the binding arms of said nucleic acid molecule comprises sequences complementary to any of sequences defined as SEQ. ID. NOS. 325-368.
- 25 6. An enzymatic nucleic acid molecule which specifically cleaves RNA derived from a c-fos gene, wherein said nucleic acid molecule is in a hepatitis delta virus, VS nucleic acid, group I

intron, Group II intron, or RNase P nucleic acid motif.

- 7. The enzymatic nucleic acid molecule of any of claims 1, 2, or 6, wherein said nucleic acid comprises between 12 and 100 bases complementary to said RNA.
  - 8. The enzymatic nucleic acid molecule of any of claims 1, 2, or 6, wherein said nucleic acid comprises between 14 and 24 bases complementary to said mRNA.
  - 9. The enzymatic nucleic acid molecule of claim 2, wherein said hammerhead motif consists essentially of any sequence selected from the sequences defined as SEQ. ID NOS. 141-280.
- 15 10. A mammalian cell including an enzymatic nucleic acid molecule of any one of any of claims 1, 2, or 6.
  - 11. The cell of claim 10, wherein said cell is a human cell.
- 20 12. An expression vector comprising nucleic acid sequence encoding at least one of the enzymatic nucleic acid molecule of any of claims 1, 2, or 6, in a manner which allows expression of that enzymatic nucleic acid molecule.
- 25 13. A mammalian cell including an expression vector of claim 12.

- 14. The cell of claim 13, wherein said cell is a human cell.
- 15. A method for treatment of cancer comprising the step of administering to a patient the enzymatic nucleic acid molecule of any of claims 1, 2, or 6.
  - 16. A method for treatment of a cancer comprising the step of administering to a patient the expression vector of claim 12.
- 17. A method for treatment of cancer comprising the steps of: a) isolating cells from a patient; b) administering to said cells the enzymatic nucleic acid molecule of any of claims 1, 2, 6 or 12; and c) introducing said cells back into said patient.
- 18. A pharmaceutical composition comprising the enzymatic nucleic acid molecule of any of claims 1, 2, 6 or 12.
- 19. A method of treatment of a patient having a condition associated with the level of c-fos, wherein said patient is administered the enzymatic nucleic acid molecule of any of claims 1, 2, 6 or 12.
  - 20. A method of treatment of a patient having a condition associated with the level of c-fos, comprising contacting cells of said patient with the nucleic acid molecule of any of claims 1, 2, 6, or 12, and further comprising the use of one or more drug therapies.

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- 21. The enzymatic nucleic acid molecule of claim 2, wherein said nucleic acid molecule comprises at least five ribose residues, and wherein said nucleic acid comprises phosphorothicate linkages at at least three of the 5' terminal nucleotides, and wherein said nucleic acid comprises a 2'-C-allyl modification at position No. 4 of said nucleic acid, and wherein said nucleic acid comprises at least ten 2'-O-methyl modifications, and wherein said nucleic acid comprises a 3'- end modification.
- 22. The enzymatic nucleic acid of claim 21, wherein said nucleic acid comprises a 3'-3' linked inverted ribose moeity at said 3' end.
- The enzymatic nucleic acid molecule of claim 2, 23. wherein said nucleic acid molecule comprises at 15 five ribose residues, and wherein least nucleic acid molecule comprises phosphorothioate linkages at at least three of the 5' terminal and wherein said nucleic nucleotides. comprises a 2'-amino modification at position No. 4 20 and/or at position No. 7 of said nucleic acid molecule molecule, wherein said nucleic acid comprises at least ten 2'-0-methyl modifications, and wherein said nucleic acid comprises a 3'- end modification. 25
  - 24. The enzymatic nucleic acid molecule of claim 2, wherein said nucleic acid molecule comprises at least five ribose residues, and wherein said nucleic acid molecule comprises phosphorothicate linkages at at least three of the 5' terminal nucleotides, and wherein said nucleic acid molecule

comprises an abasic substitution at position No. 4 and/or at position No. 7 of said nucleic acid molecule, wherein said nucleic acid comprises at least ten 2'-O-methyl modifications, and wherein said nucleic acid molecule comprises a 3'-end modification.

The enzymatic nucleic acid molecule of claim 2, 25. wherein said nucleic acid molecule comprises of at ribose residues, and wherein said five nucleic acid comprises phosphorothioate linkages at 10 at least three of the 5' terminal nucleotides, and wherein said nucleic acid molecule comprises a 6methyl uridine substitution at position No. 4 and/or at position No. 7 of said nucleic acid acid said nucleic molecule wherein molecule, 15 comprises at least ten 2'-O-methyl modifications, and wherein said nucleic acid molecule comprises a 3' end modification.

# Figure 1. Hammerhead Ribozyme

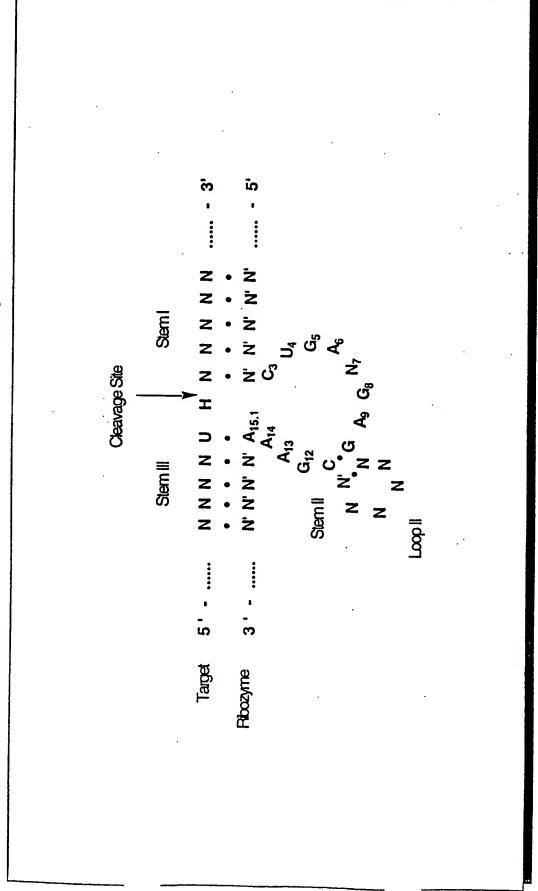


Figure 2. Hammerhead Ribozyme Substrate Motifs

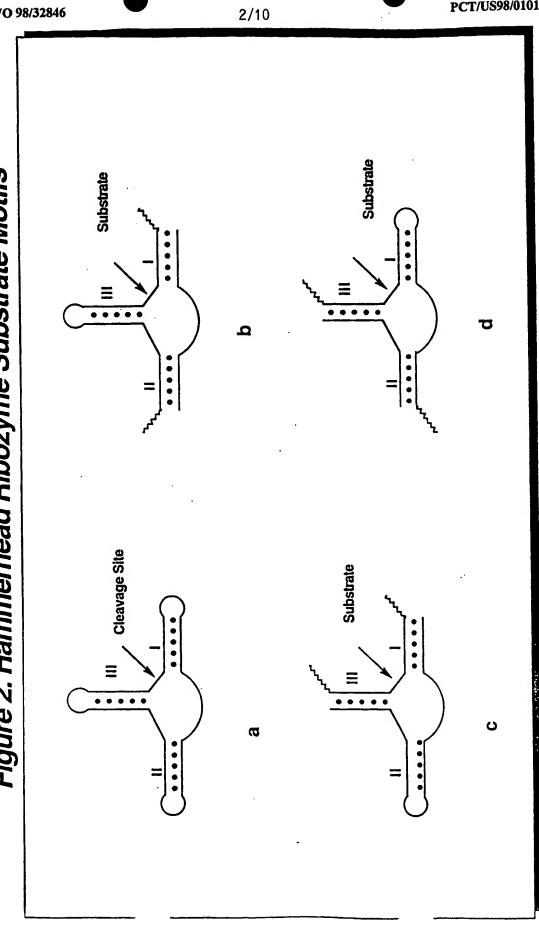
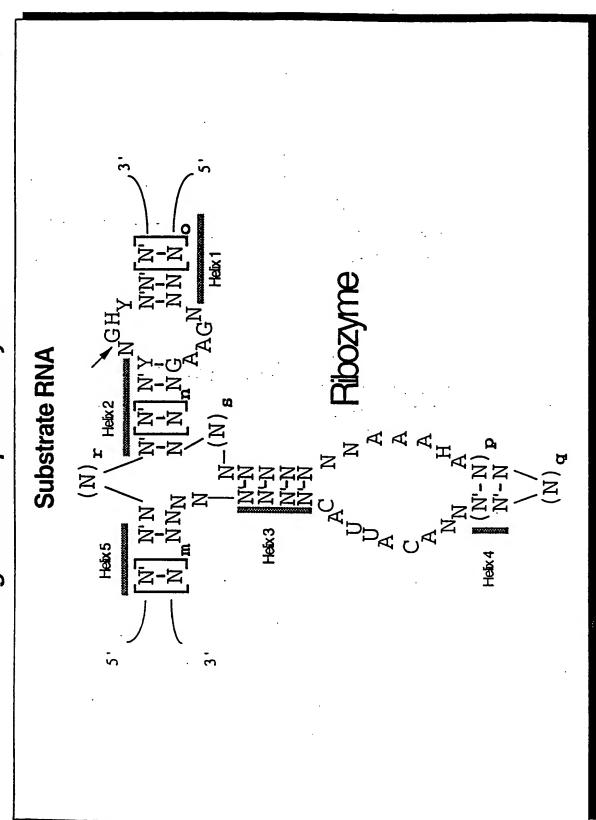


Figure 3. Hairpin Ribozyme



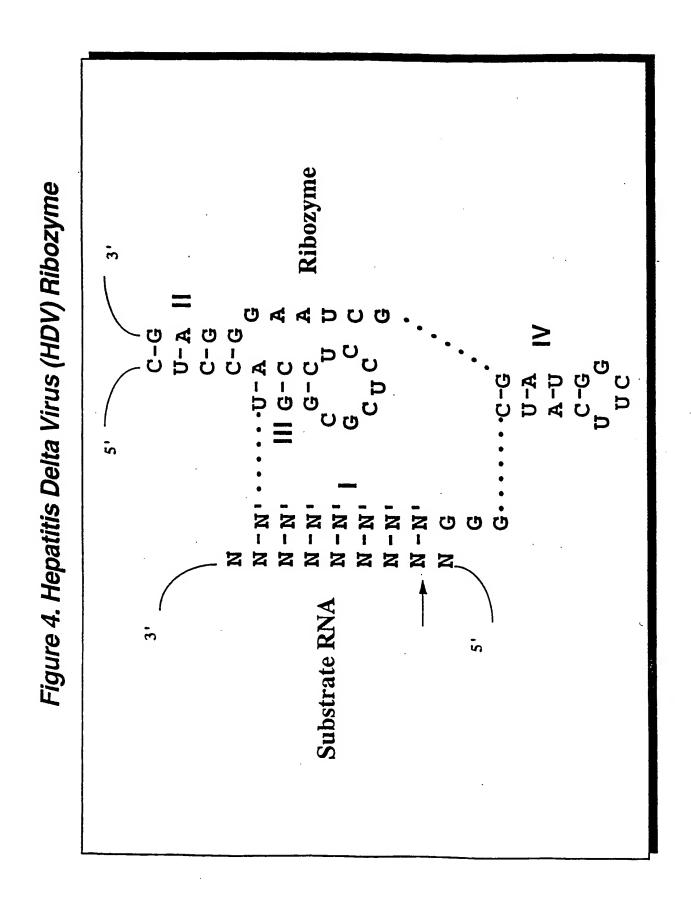
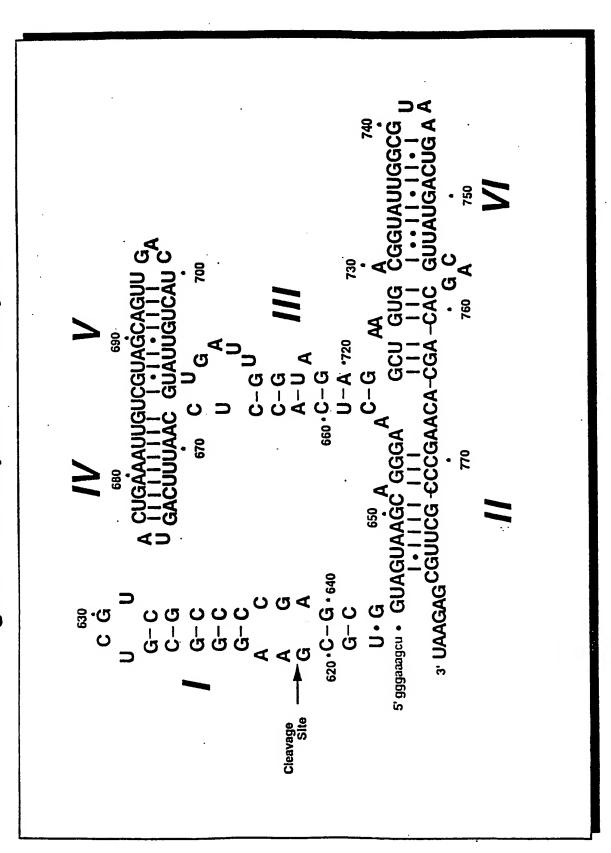
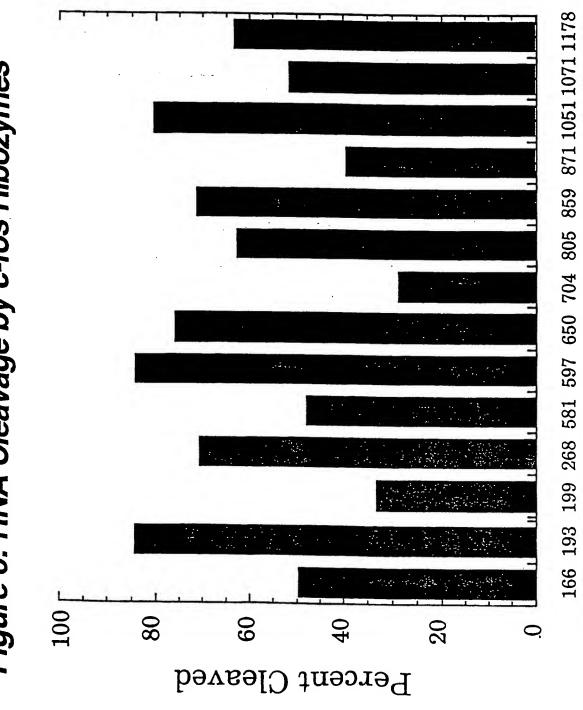


Figure 5. Neurospora VS Ribozyme

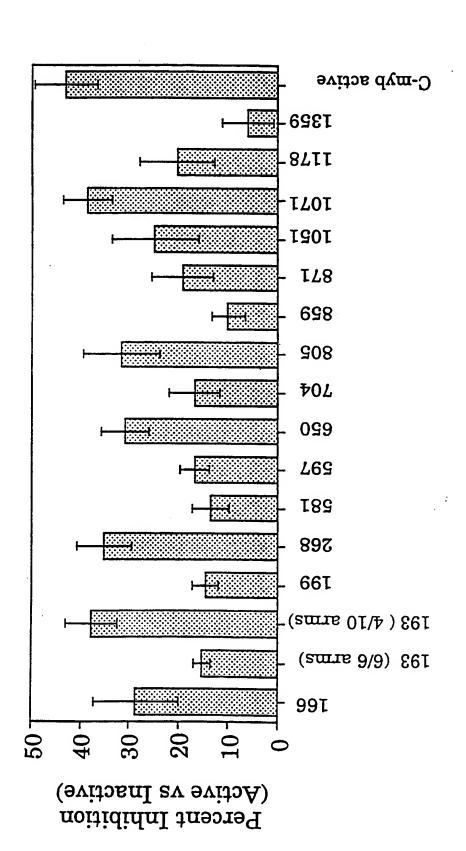






Hammerhead Ribozymes

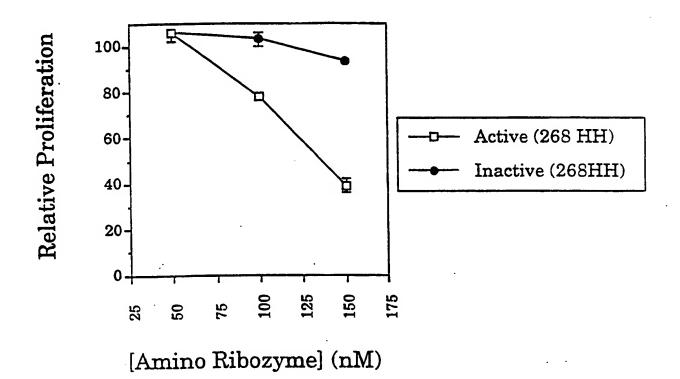
Figure 7: C-fos Ribozyme-Mediated Inhibition of Cell Proliferation



C-Allyl Ribozymes

% Inhibition (average of four experiments)

Figure 8: C-fos Kibozyme-Mediated Inhibition of Cell Proliferation



# Figure 9A: Amino Hammerhead Ribozyme

# Figure 9B: C-Allyl Hammerhead Ribozyme

a c g a G

a g a c

a g a c

a g a c

b c a g a G

a g a c

a g a c

a g a c

b c a g a G

c a g a c

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